

# Self-supervised searches for new physics

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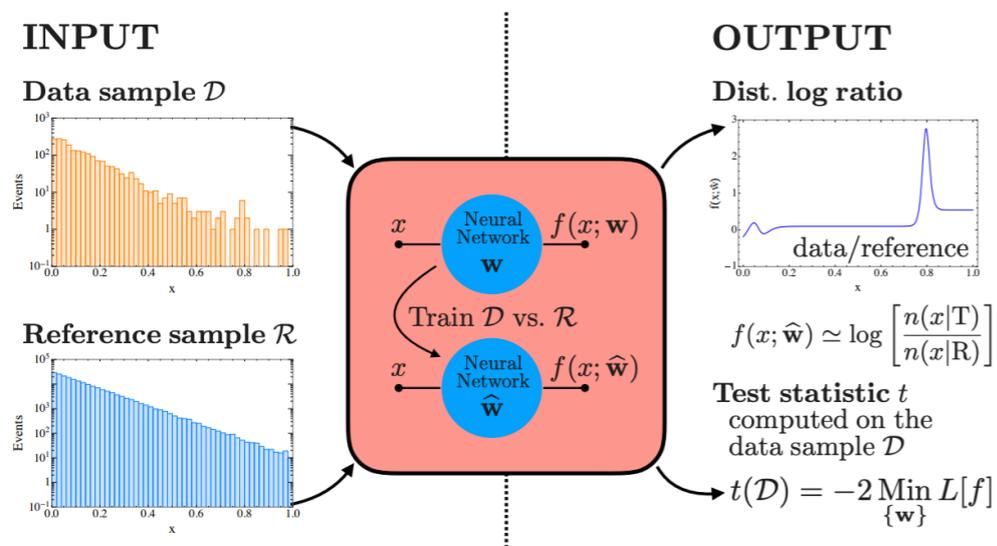
# Overview

- Anomaly detection
- Self-supervision
- Self-supervision and AutoEncoders
- Conclusions

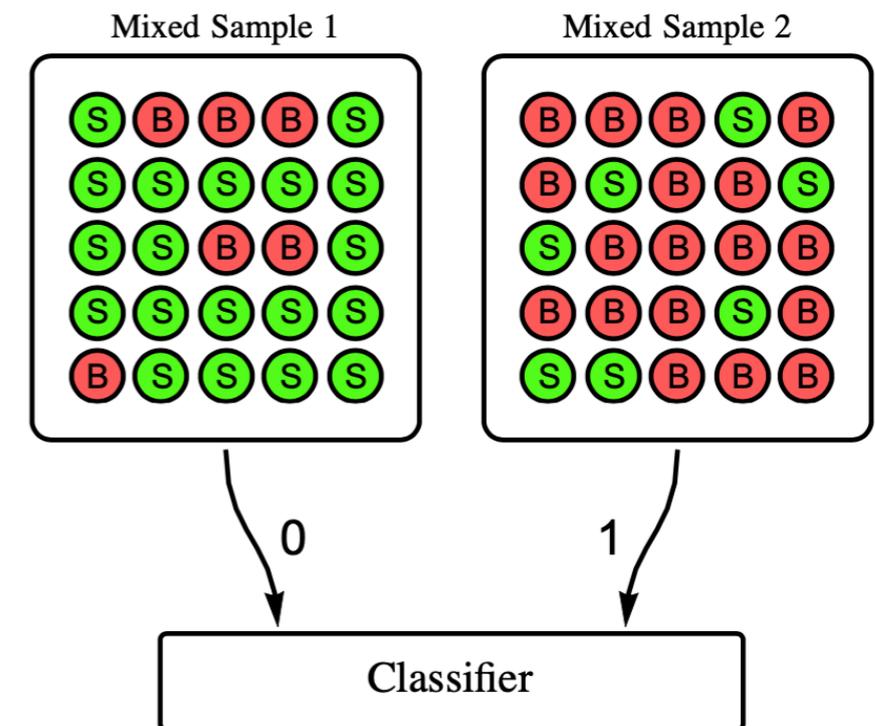
# Anomaly-detection

# ML-based anomaly detection

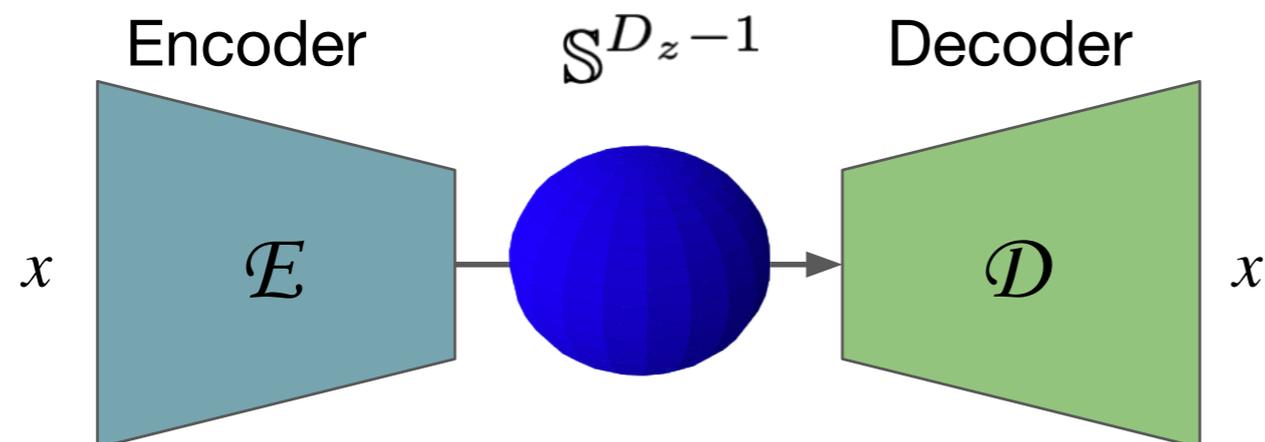
## 1 - Simulation vs experiment



## 2 - Classification Without Labels



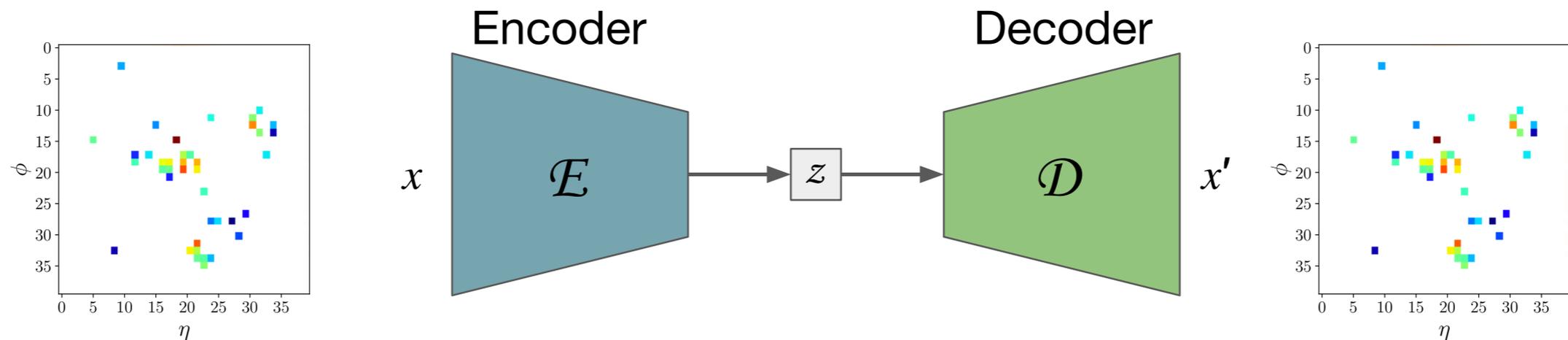
## 3 - AutoEncoders



# AutoEncoder networks

[‘QCD or What?’ HeimeI et al]

[‘Searching for new physics with deep AEs’ Farina et al]



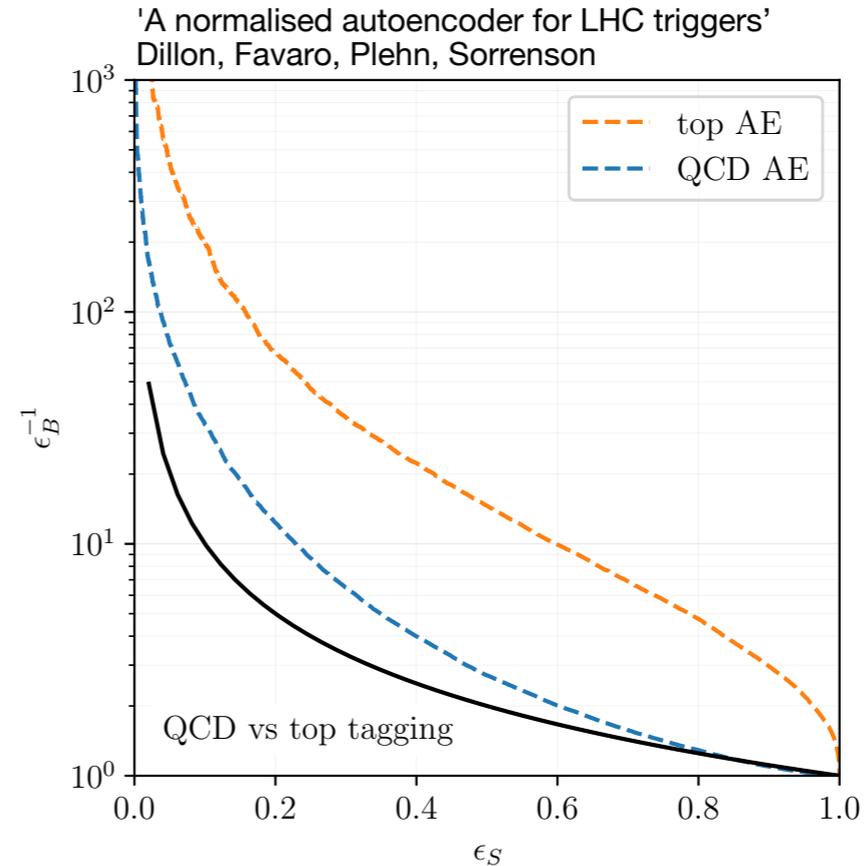
- Trained to reconstruct the data they are trained on
- Optimised on **background-only/dominant** data
- **Unsupervised**  $\longrightarrow$  **model-agnostic, no labels**
- Reconstruction loss:  $\mathcal{L} = ||x - x'||^2$
- More anomalous  $\Rightarrow$  data the network has seen least  $\Rightarrow$  **larger reconstruction loss**
- **AEs give us an observable to measure OOD-ness**

# AutoEncoder networks - the problems

They don't robustly identify anomalous jets.

They do robustly identify complex jets.

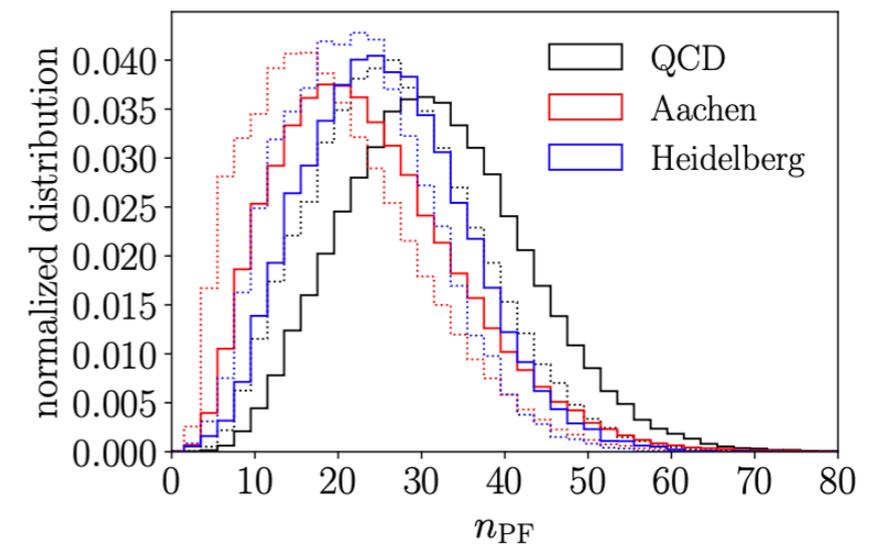
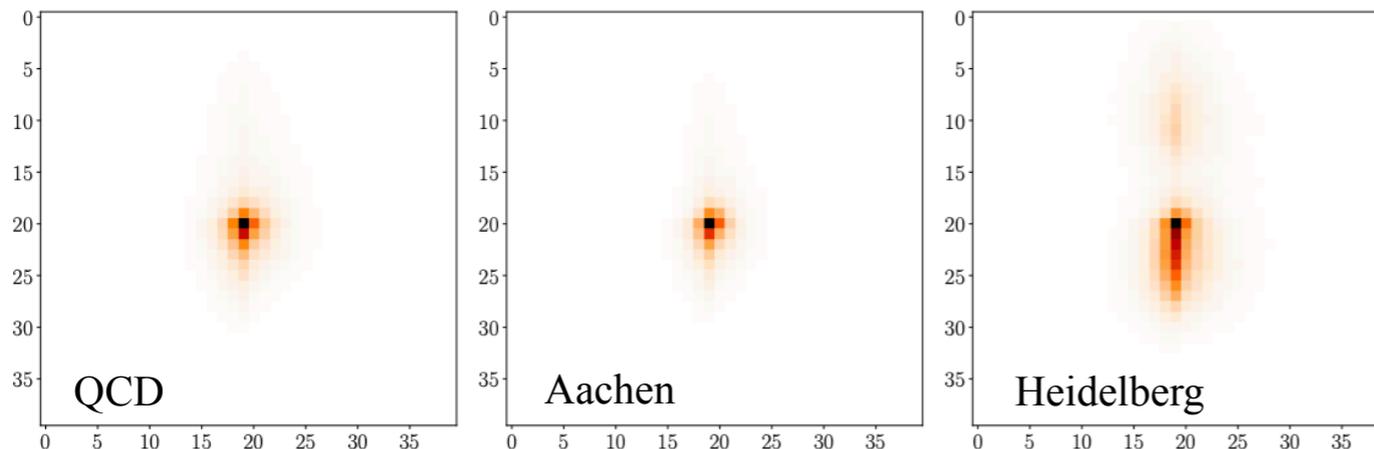
e.g anomalous top/QCD jets



e.g. semi-visible jets - very little substructure

[Pythia's HiddenValley model]

'What's anomalous in LHC jets?'  
Buss, Dillon, Finke, Krämer, ...

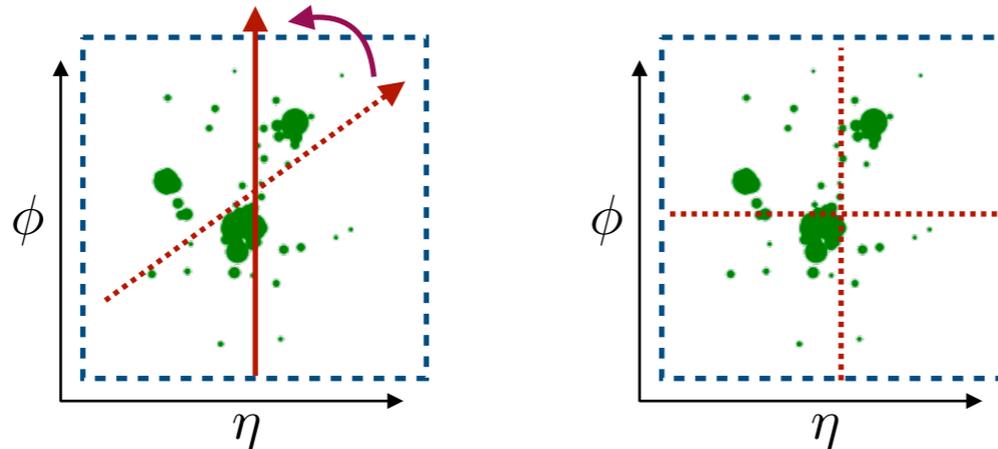


# AutoEncoder networks - the problems

Not invariant to symmetries in jet physics.

AE can't reconstruct something the latent space is invariant to...

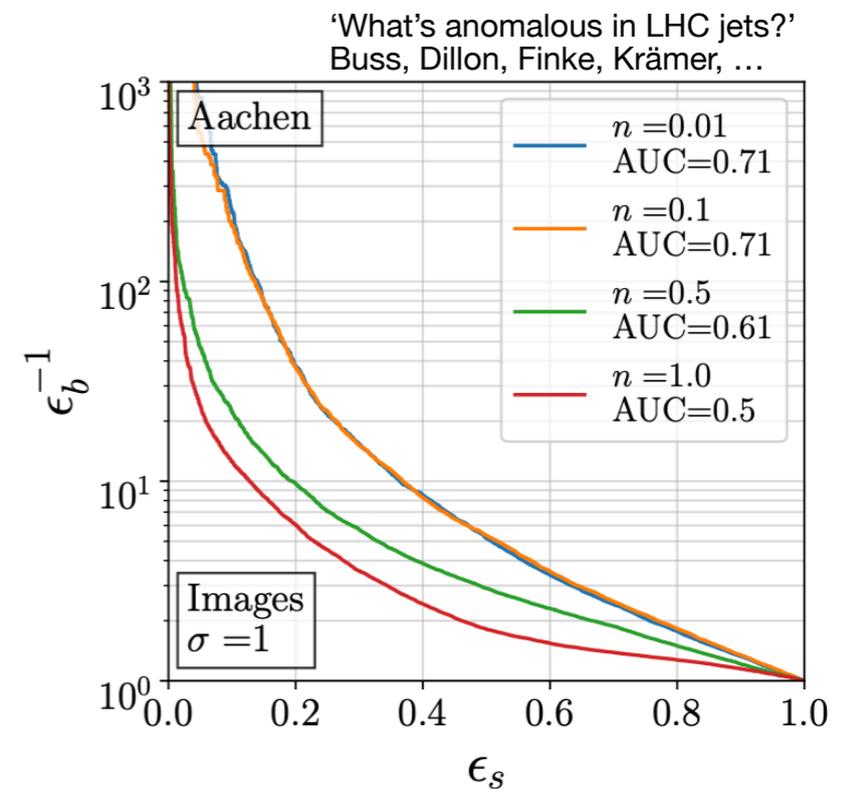
Preprocessing is necessary, but approximate.



Very sensitive to the choice of representation.

e.g. under re-mapping of  $p_T$ 's,  $p_T \rightarrow p_T^n$

the results vary a lot.



# Density-based anomaly detection

Reconstruction is a very vague way to define anomalous (OOD-ness)

More accurately: anomalous events/jets are in low density regions of the feature space

Machine-learned density estimation:

1 - some parameterisation of the density  $p_{\text{data}}(\vec{x})$

2 - a scheme to minimise  $-\log p_{\text{data}}(\vec{x})$  wrt to the parameters

Also works well in high-dimensions! → Normalised AutoEncoder

[ 'A normalised autoencoder for LHC triggers' Dillon et al ]

So, how do we define the representation (i.e. feature space) of the data???

# Self-supervision

# Self-supervised learning

- Extract useful information from unlabelled data
- Model creates its own supervision by creating tasks from the data
- Allows the model to create rich representations from data for downstream tasks

Supervised	Unsupervised	Self-supervised
uses 'truth labels' from simulation	no labels at all are used	uses 'pseudo-labels' derived from the data

→ reframe the definition of observables as an self-supervised optimisation task

What do we want from the observables?

- Invariance to symmetries
- Discriminative power

# JetCLR : contrastive learning of jet representations

'Symmetries, safety, and self-supervision', Dillon, Kasieczka, Olischläger, Plehn, Sorrenson, Vogel

Dataset: mixture of QCD and top jets, again

From the dataset of jets  $\{x_i\}$  we define:

- 'pseudo labels'
- **positive pairs**:  $\{(x_i, x'_i)\}$  where  $x'_i$  is an **augmented** version of  $x_i$
  - **negative pairs**:  $\{(x_i, x_j)\} \cup \{(x_i, x'_j)\}$  for  $i \neq j$

Optimise a network to map  $f(x_i) = z_i$ ,  $f : \mathcal{J} \rightarrow \mathcal{R}$ , optimising for:

- **alignment**: positive pairs are close together in  $\mathcal{R}$ 
  - forces invariance to augmentations
- **uniformity**: negative pairs are far apart in  $\mathcal{R}$ 
  - forces discriminative power in representation space

# JetCLR : contrastive learning of jet representations

'Symmetries, safety, and self-supervision', Dillon, Kasieczka, Olischläger, Plehn, Sorrenson, Vogel

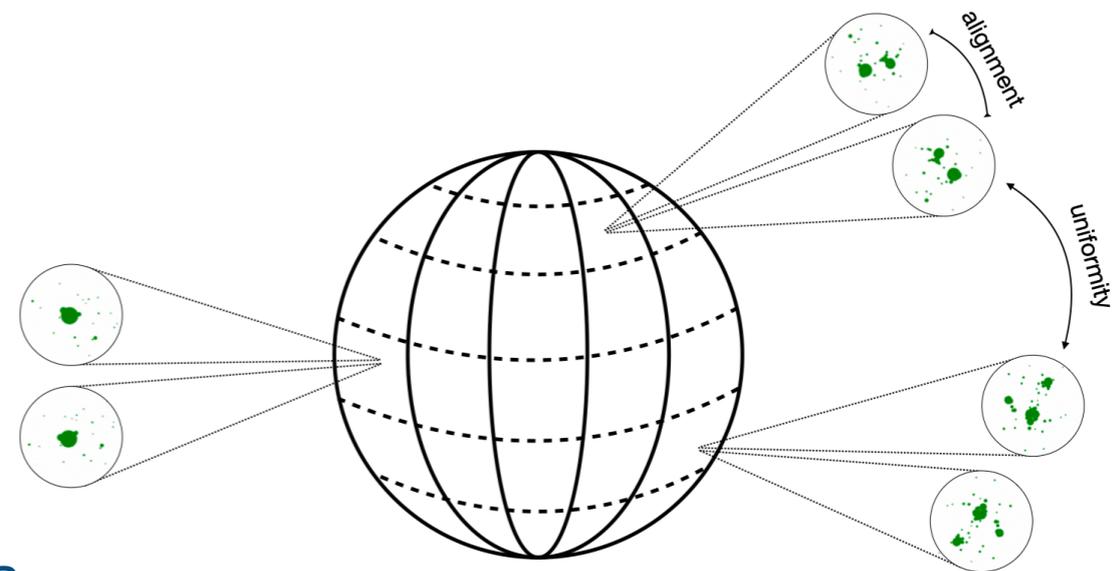
Similarity measure in  $\mathcal{R}$ :  $s(z_i, z_j) = \frac{z_i \cdot z_j}{|z_i||z_j|} \longrightarrow$  defined on a **unit hypersphere**  
important to constrain uniformity

Contrastive loss:  $\mathcal{L}_i = -\log \frac{\exp(s(z_i, z'_i)/\tau)}{\sum_{x \in \text{batch}} \mathbb{I}_{i \neq j} (\exp(s(z_i, z'_j)/\tau) + \exp(s(z_i, z'_i)/\tau))}$

Numerator: positive pairs & alignment

Denominator: negative pairs & uniformity

Can be completely data-driven, with augmentations applied to experimental data.



# JetCLR : contrastive learning of jet representations

'Symmetries, safety, and self-supervision', Dillon, Kasieczka, Olischläger, Plehn, Sorrenson, Vogel

Optimising the network:

1. Sample a batch of jets
2. Create an augmented batch of jets
3. Forward-pass through the network
4. Compute loss and update weights

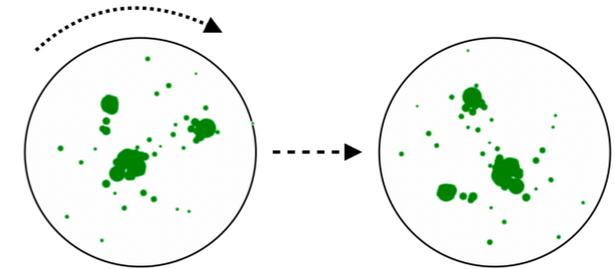
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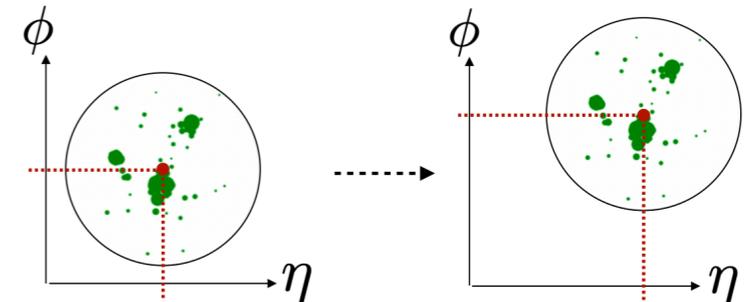
Optimising the network:

1. Sample a batch of jets
2. **Create an augmented batch of jets**
3. Forward-pass through the network
4. Compute loss and update weights

2.A Rotations



2.B Translations



2.C Collinear splittings

$$p_{T,a} + p_{T,b} = p_T \quad \eta_a = \eta_b = \eta$$
$$\phi_a = \phi_b = \phi$$

2.D IR smearing

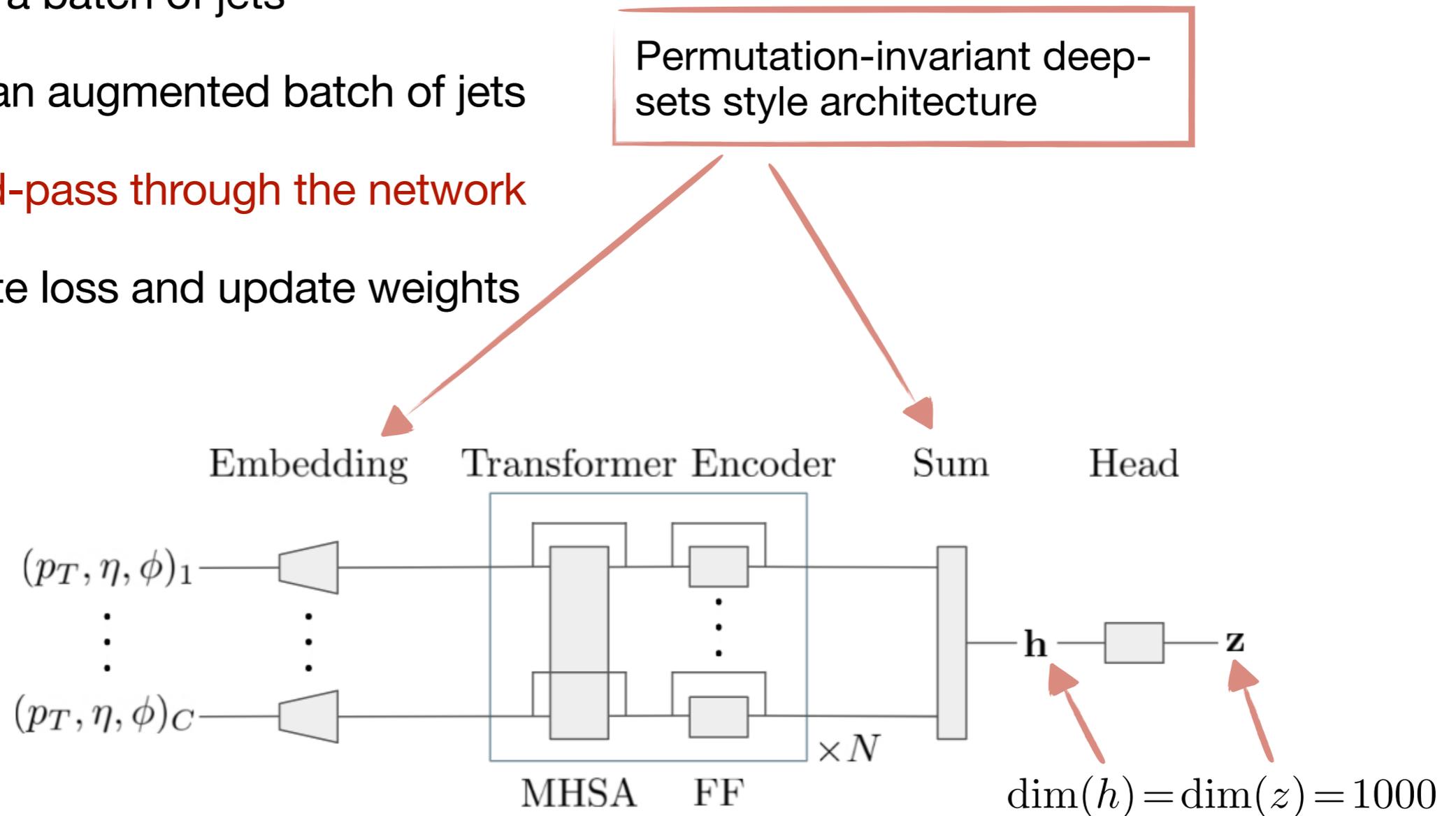
$$\eta' \sim \mathcal{N}\left(\eta, \frac{\Lambda_{\text{soft}}}{p_T}\right)$$
$$\phi' \sim \mathcal{N}\left(\phi, \frac{\Lambda_{\text{soft}}}{p_T}\right)$$

# JetCLR : contrastive learning of jet representations

'Symmetries, safety, and self-supervision', Dillon, Kasieczka, Olischläger, Plehn, Sorrenson, Vogel

Optimising the network:

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# JetCLR : representation power

'Symmetries, safety, and self-supervision', Dillon, Kasieczka, Olischläger, Plehn, Sorrenson, Vogel

Measure performance of the JetCLR representations using a **Linear Classifier Test**

Compare performance with three other widely-used representations

## 1. 4-vector inputs

80D rep, **no invariances** whatsoever

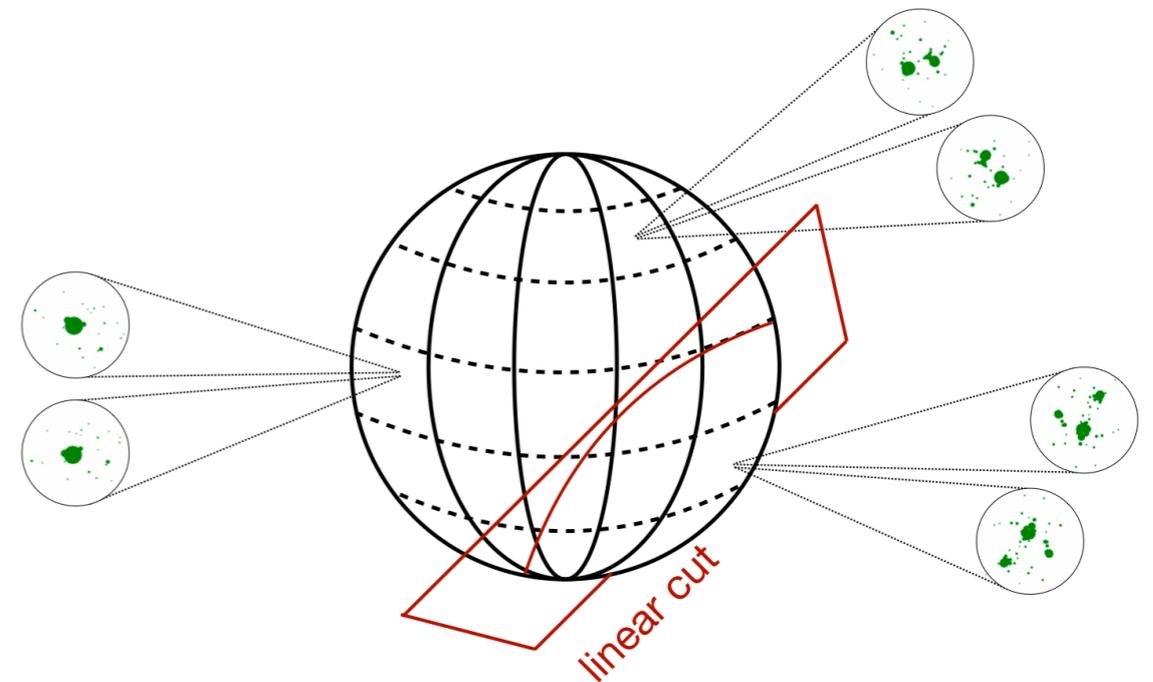
## 2. Jet images

1600D rep, **approx invariance** to rotations & translations, IRC safe

## 3. Energy Flow Polynomials

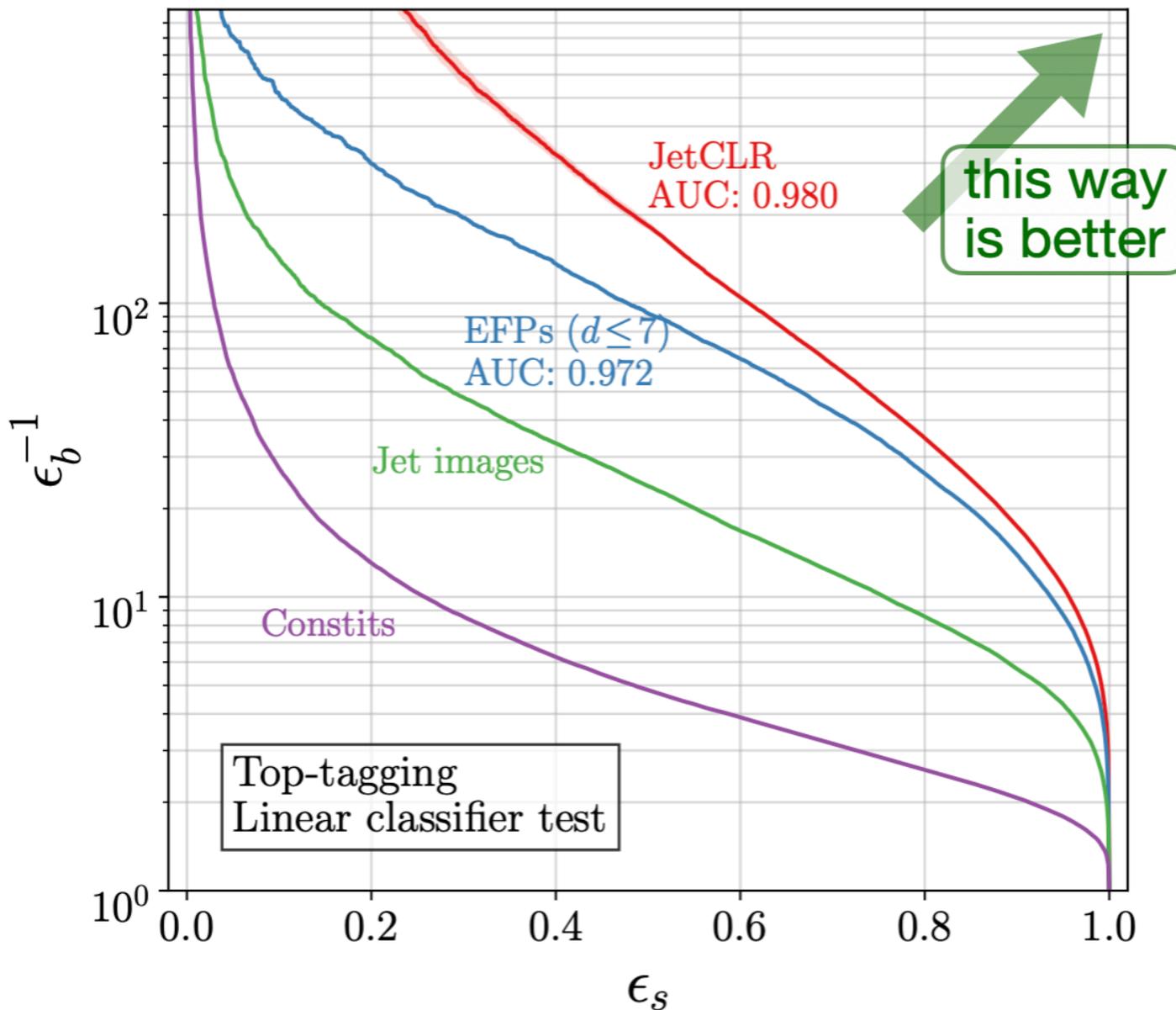
1000D rep, **exact invariance** to rotations & translations, and IRC safe

'Energy Flow Polynomials', Thaler et al



# JetCLR : representation power

'Symmetries, safety, and self-supervision', Dillon, Kasieczka, Olischläger, Plehn, Sorrenson, Vogel



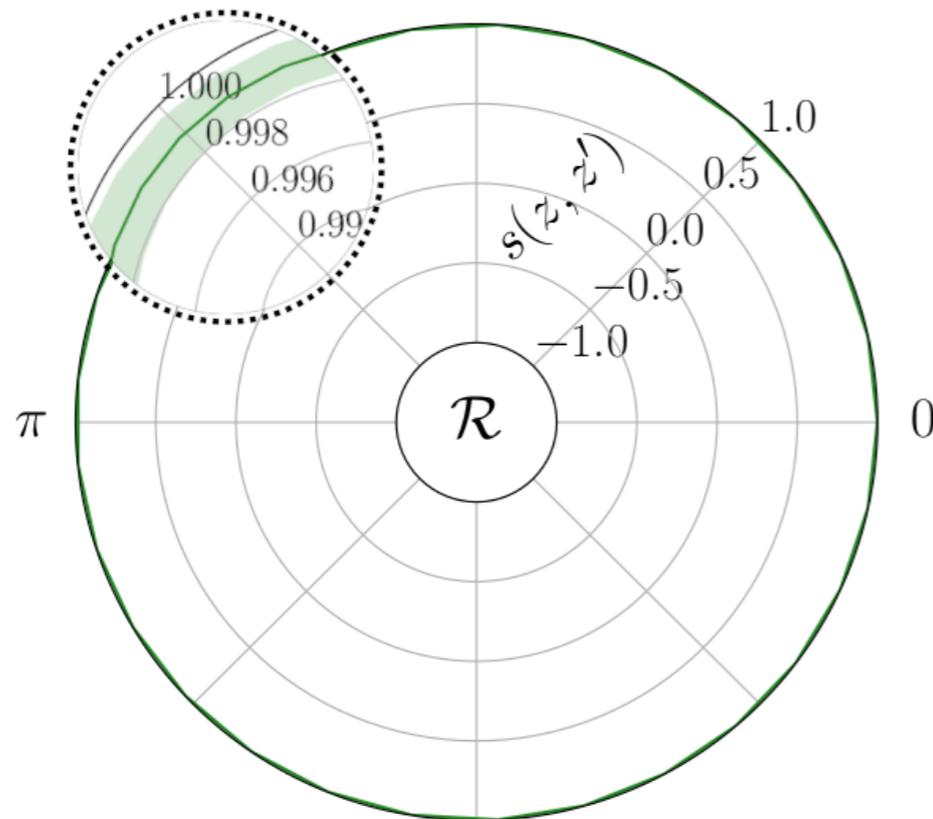
Augmentation	$\epsilon_b^{-1}(\epsilon_s = 0.5)$	AUC
none	15	0.905
translations	19	0.916
rotations	21	0.930
soft+collinear	89	0.970
all combined (default)	181	0.980

Results are very insensitive to S/B as well, implies that JetCLR learns some very general features of jets.

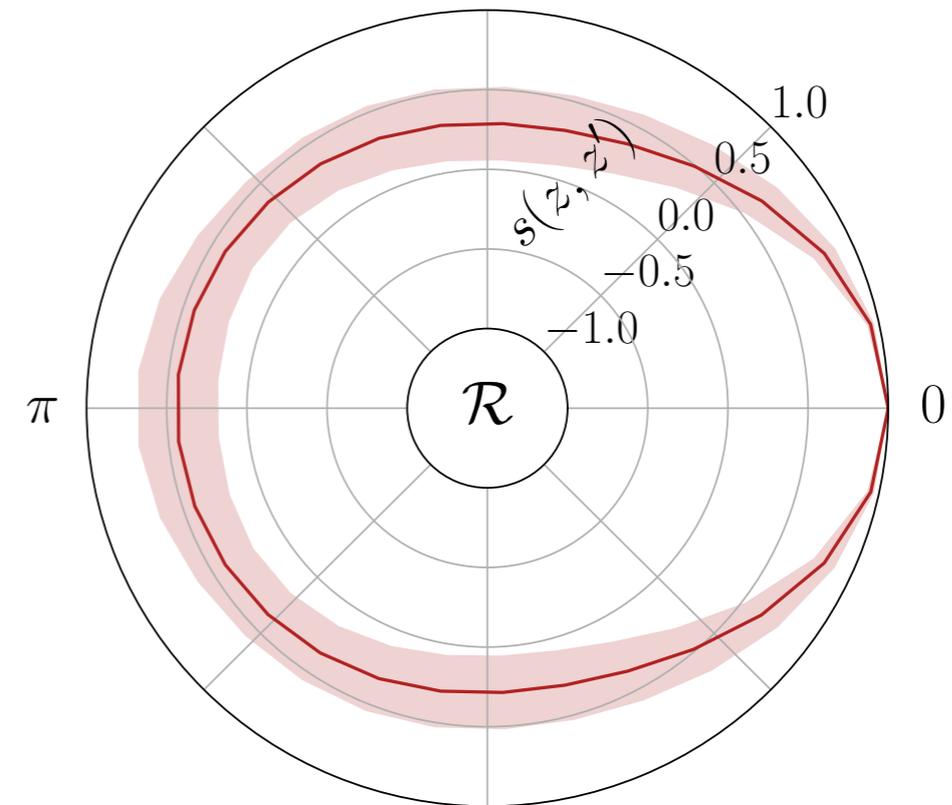
# JetCLR : invariance to rotations

'Symmetries, safety, and self-supervision', Dillon, Kasieczka, Olischläger, Plehn, Sorrenson, Vogel

with rotation invariance



without rotation invariance

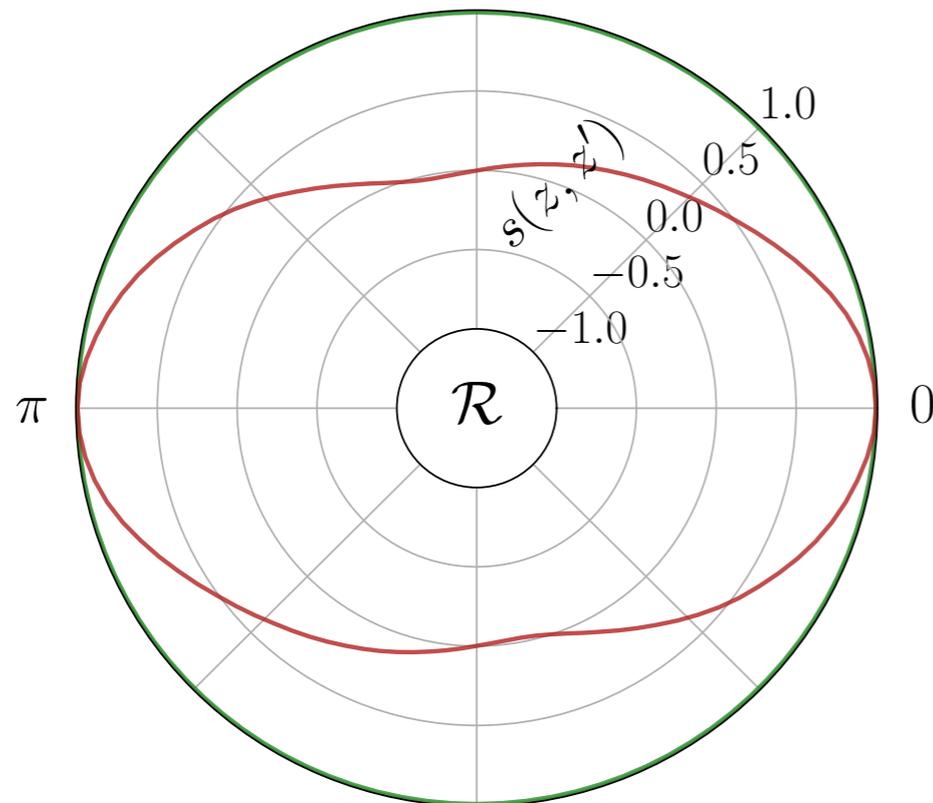


$$s(z, z') = \frac{z \cdot z'}{|z||z'|}, \quad z = f(x), \quad z' = f(R(\theta)x)$$

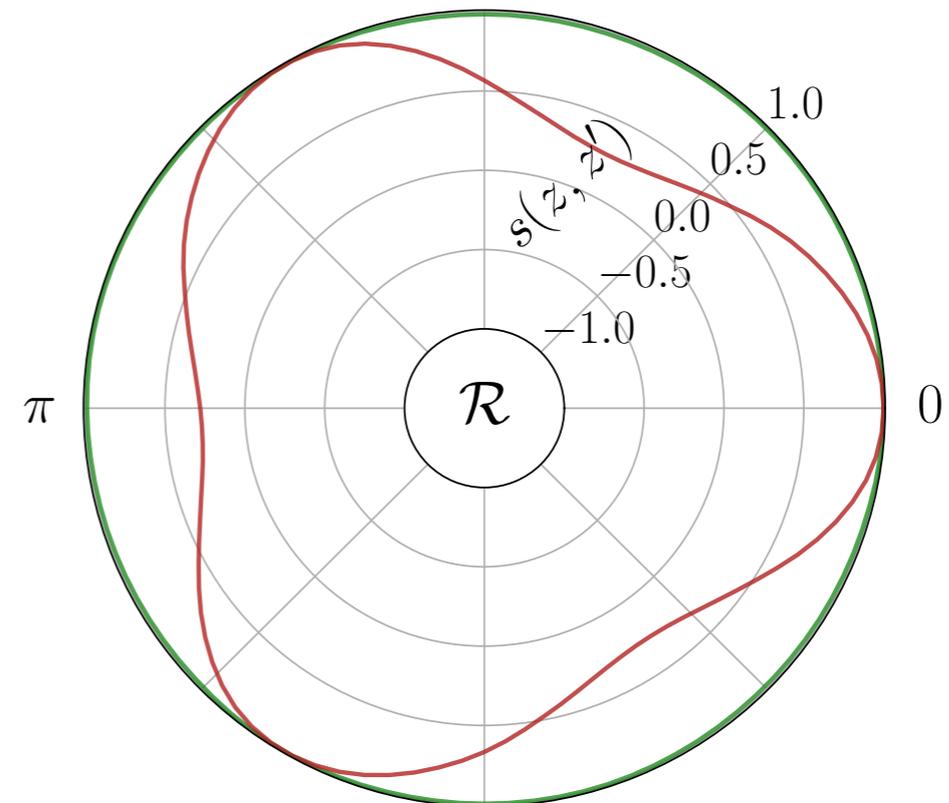
# JetCLR : invariance to rotations

'Symmetries, safety, and self-supervision', Dillon, Kasieczka, Olischläger, Plehn, Sorrenson, Vogel

two constituent jet



three constituent jet



$$s(z, z') = \frac{z \cdot z'}{|z||z'|}, \quad z = f(x), \quad z' = f(R(\theta)x)$$

# Self-supervision & AutoEncoders

# AnomalyCLR : contrastive learning for anomalies

'Anomalies, representations, and self-supervision', Dillon, Favaro, Fieden, Modak, Plehn

What if the dataset only contains background?

$$\mathcal{L}_{\text{CLR}} = -\log \frac{\exp(s(z_i, z'_i))}{\sum_{x \in \text{batch}} \mathbb{1}_{i \neq j} \left( \exp(s(z_i, z_j)) + \exp(s(z_i, z'_j)) \right)}$$



no guarantee to learn features sensitive to new physics...

Solution??

$$\mathcal{L}_{\text{AnomCLR}} = -\log \frac{\exp(s(z_i, z'_i) - s(z_i, z_i^*))}{\sum_{x \in \text{batch}} \mathbb{1}_{i \neq j} \left( \exp(s(z_i, z_j)) + \exp(s(z_i, z'_j)) \right)}$$

$$\mathcal{L}_{\text{AnomCLR}}^+ = s(z_i, z_i^*) - s(z_i, z_i)$$

$z^*$  → anomaly-augmented collider data

Again, can be completely data-driven, with augmentations applied to experimental data.

# AnomalyCLR : contrastive learning for anomalies

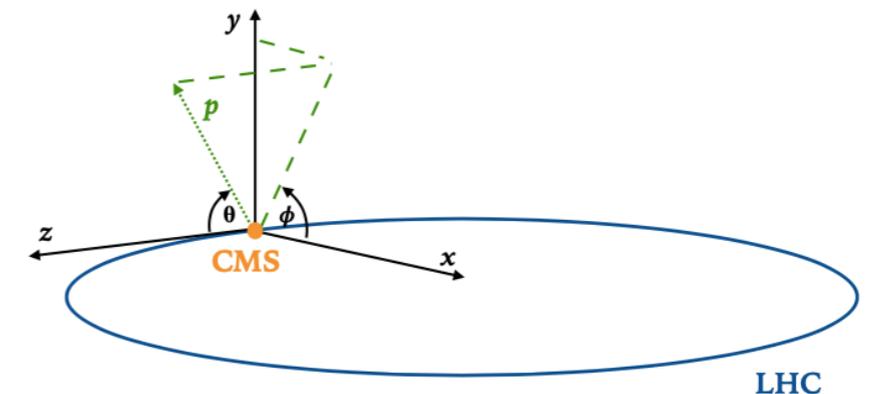
'Anomalies, representations, and self-supervision', Dillon, Favaro, Fieden, Modak, Plehn

Dataset: mixture of SM events

$W \rightarrow l\nu$  (59.2%)  
 $Z \rightarrow ll$  (6.7%)  
 $t\bar{t}$  production (0.3%)  
QCD multijet (33.8 %)

BSM benchmarks

$A \rightarrow 4l$   
 $LQ \rightarrow b\nu$   
 $h_0 \rightarrow \tau\tau$   
 $h_+ \rightarrow \tau\nu$



The events are represented as (19, 3) entries

- 19 particles: MET, 4 electrons, 4 muons, and 10 jets
- 3 observables:  $p_T$ ,  $\eta$ ,  $\phi$
- $|\eta| < [3, 2.1, 4]$  for  $e$ ,  $\mu$ ,  $j$  respectively

Welcome to the  
Anomaly Detection  
Data Challenge 2021!

### Unsupervised New Physics detection at 40 MHz

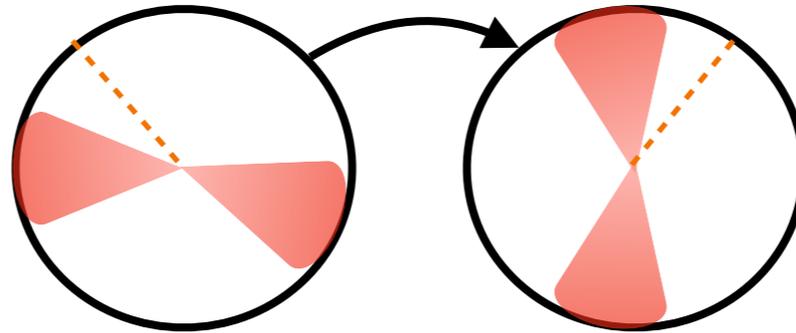
In this challenge, you will develop algorithms for detecting New Physics by reformulating the problem as an out-of-distribution detection task. Armed with four-vectors of the highest-momentum jets, electrons, and muons produced in a LHC collision event, together with the missing transverse energy (missing  $E_T$ ), the goal is to find a-priori unknown and rare New Physics hidden in a data sample dominated by ordinary Standard Model processes, using anomaly detection approaches.

# AnomalyCLR : contrastive learning for anomalies

'Anomalies, representations, and self-supervision', Dillon, Favaro, Fieden, Modak, Plehn

## Physical augmentations:

- azimuthal rotations
- $\eta, \phi$  smearing
- energy smearing



$$\eta' \sim \mathcal{N}(\eta, \sigma(p_T))$$

$$\phi' \sim \mathcal{N}(\phi, \sigma(p_T))$$

$$p_T \sim \mathcal{N}(p_T, f(p_T)), \quad f(p_T) = \sqrt{0.052p_T^2 + 1.502p_T^2}$$

## Anomalous augmentations:

- multiplicity shifts:
  - add a random number of particles, update MET
  - split existing particles, keeping total  $p_T$  and MET fixed
- $p_T$  and MET shifts

# AnomalyCLR : contrastive learning for anomalies

'Anomalies, representations, and self-supervision', Dillon, Favaro, Fieden, Modak, Plehn

## Transformer details

- 4 transformer encoder layers
- Model dimension: 200
- Data: add a one-hot-encoded particle ID to inputs

## AutoEncoder details

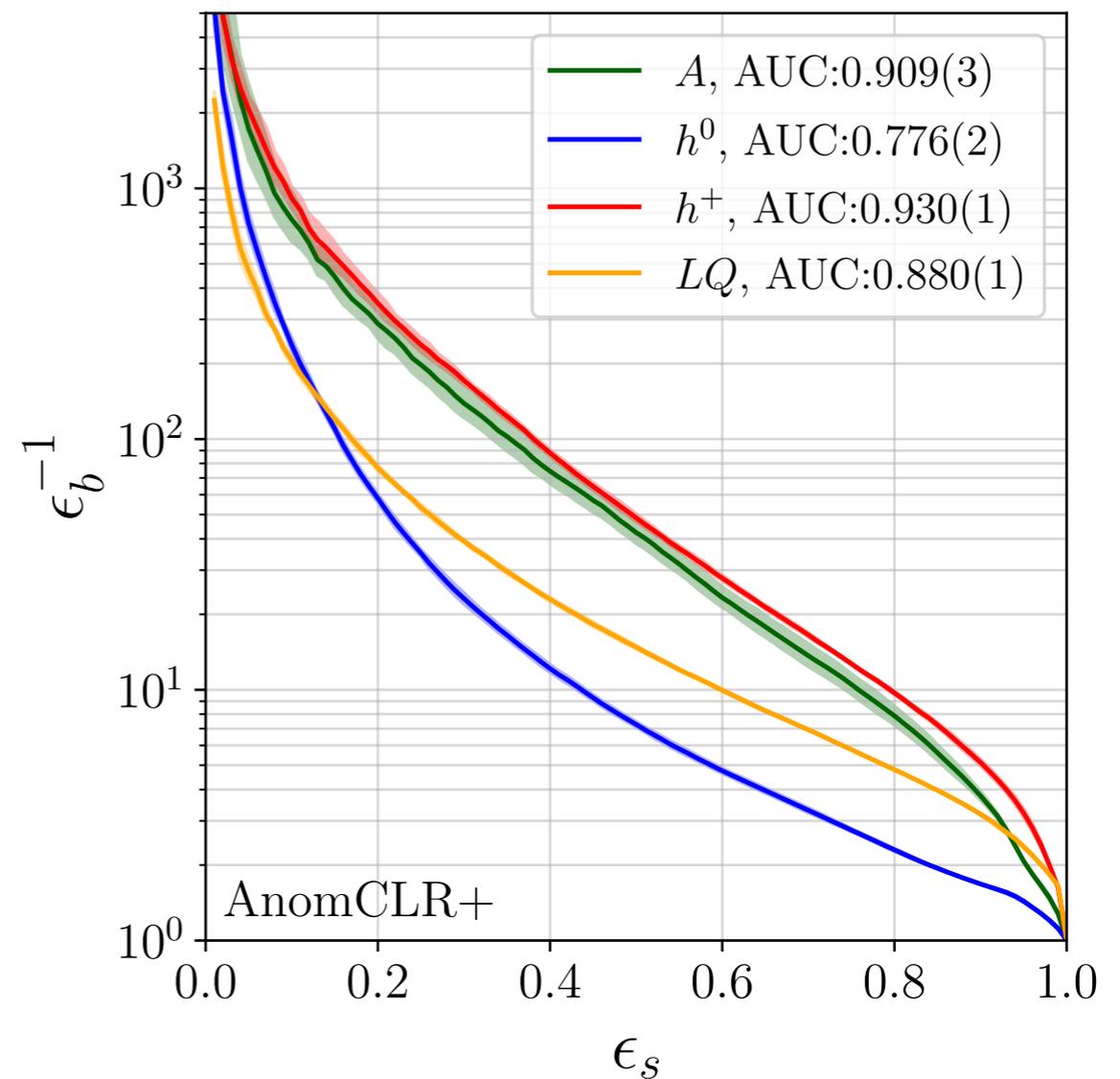
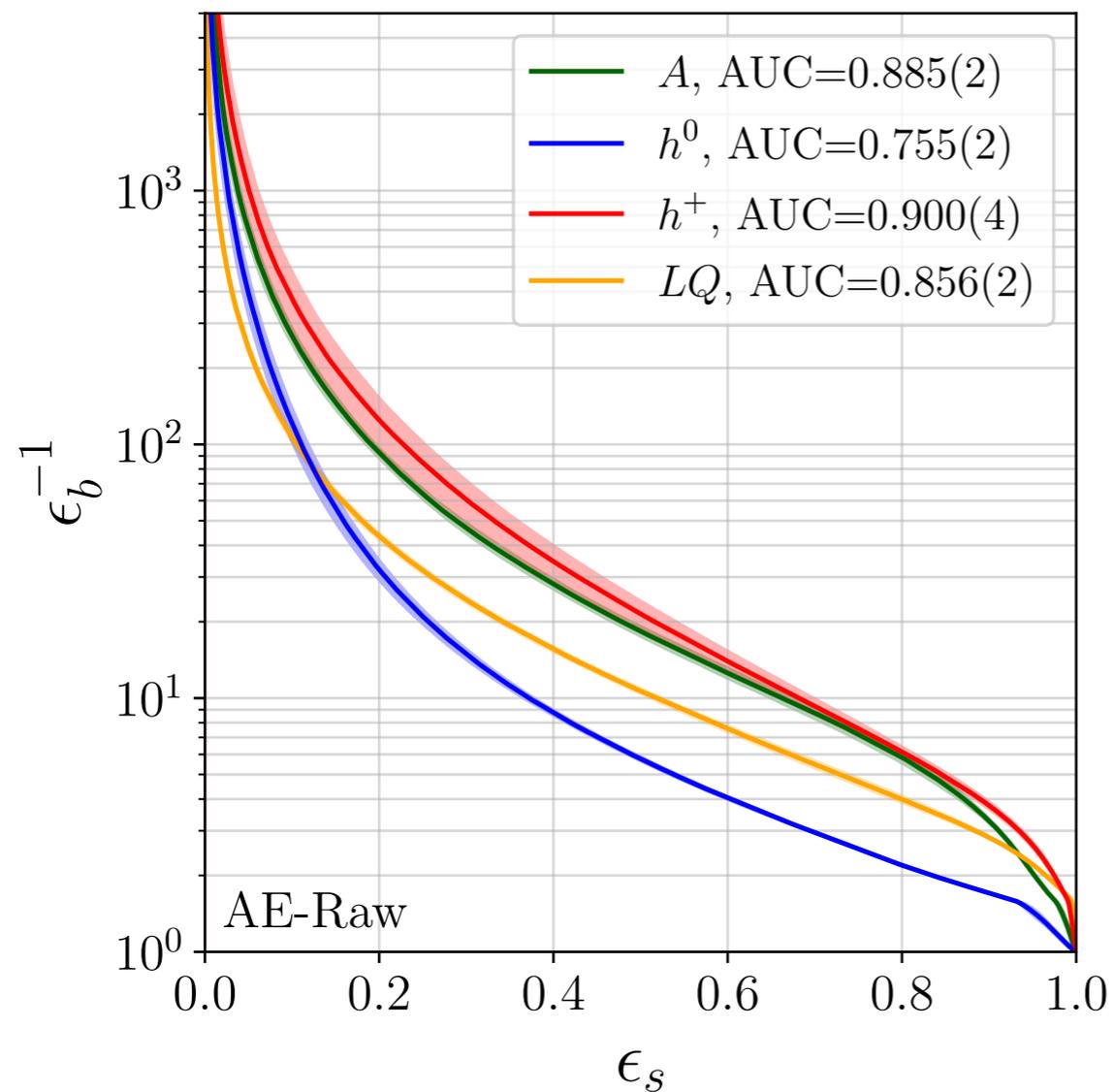
- 5 hidden layers - 256, 128, 64,32,16
- Latent space dimension: 5

## Raw data preprocessing

- Minor preprocessing to make numbers  $O(1)$
- $p_T$ 's divided by average value of the dataset
- $\eta$  and  $\phi$  values are re-scaled to be between -1 and +1

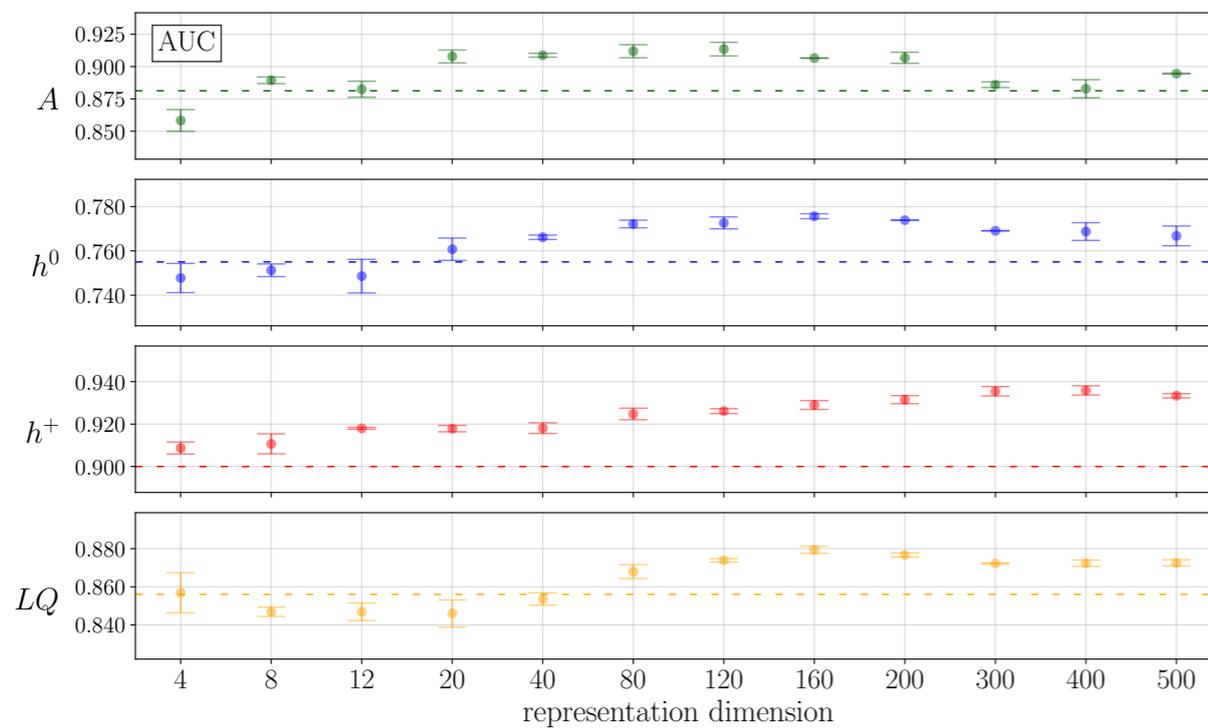
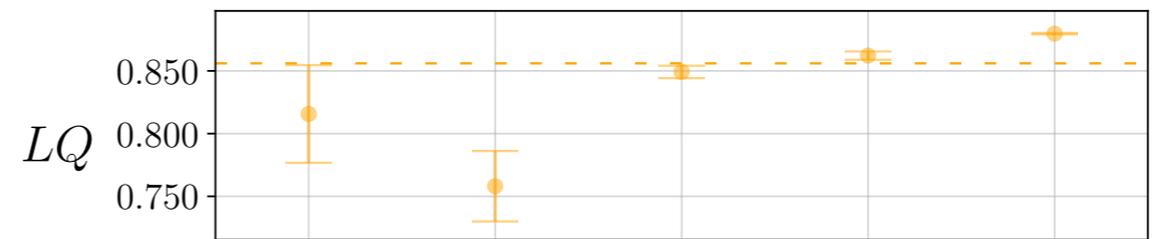
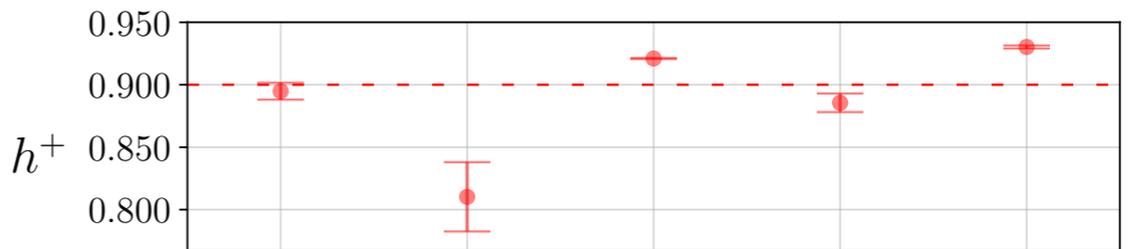
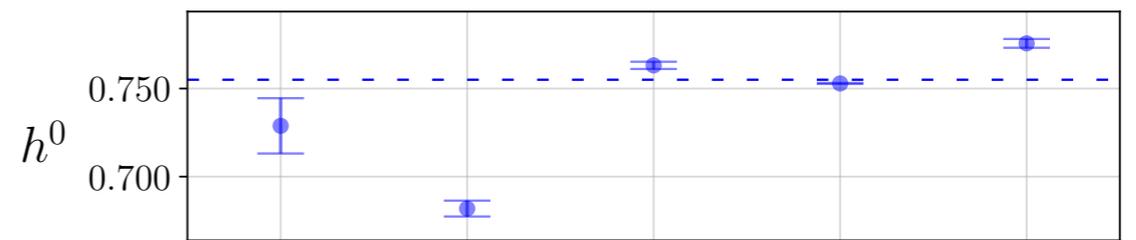
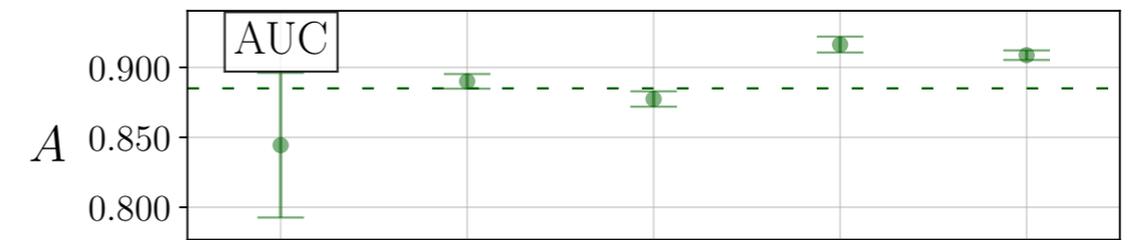
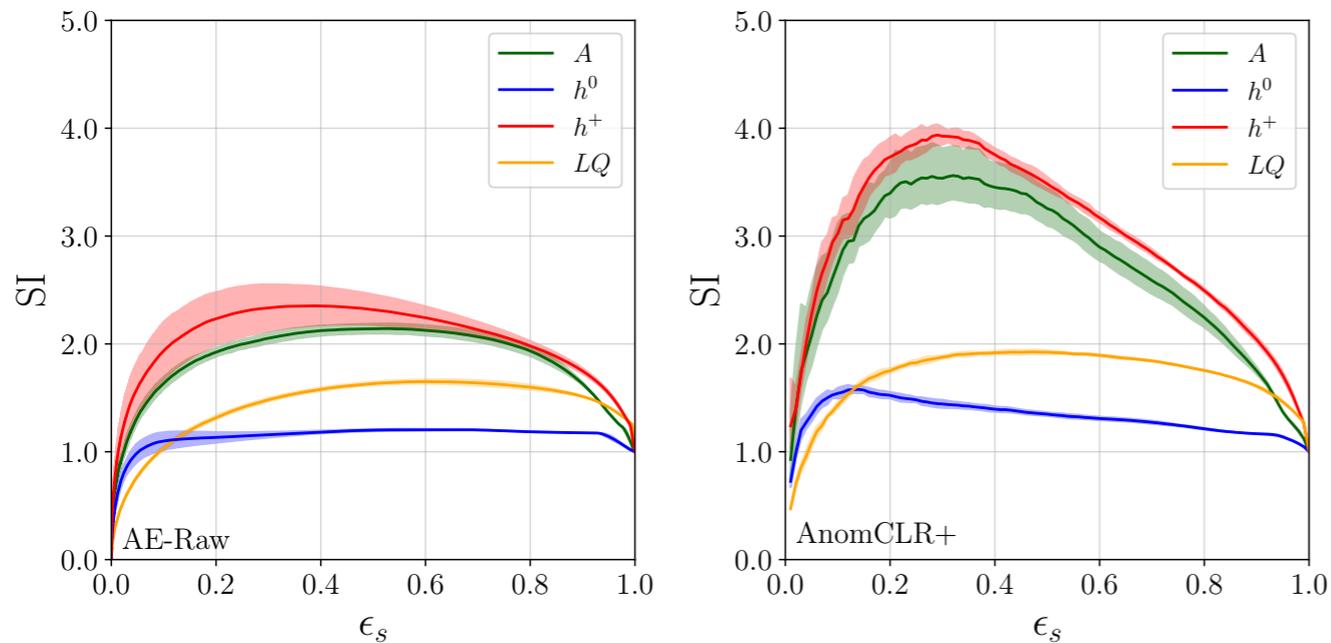
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'Anomalies, representations, and self-supervision', Dillon, Favaro, Fieden, Modak, Plehn



# AnomalyCLR : contrastive learning for anomalies

'Anomalies, representations, and self-supervision', Dillon, Favaro, Fieden, Modak, Plehn



$m(x)$   $\bar{m}(x)$   $s_{pT}(x)$   $m(x)/\bar{m}(x)$  all  
anomaly augmentations

# AnomalyCLR on jets

Work in progress - Dillon, Favaro, Fieden, Modak, Plehn

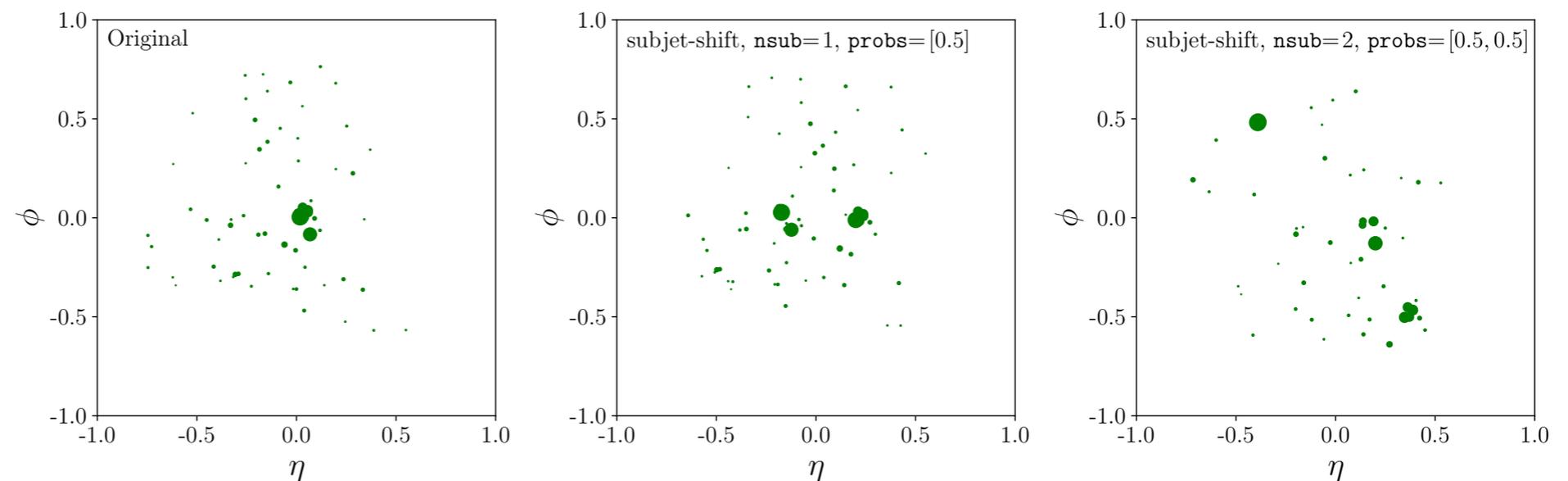
Preliminary - results to come

Exact same procedure as before, except different augmentations, for example...

## sub-jet shifts

Create subjets within a jet by randomly selecting constituents and shifting them by a random amount.

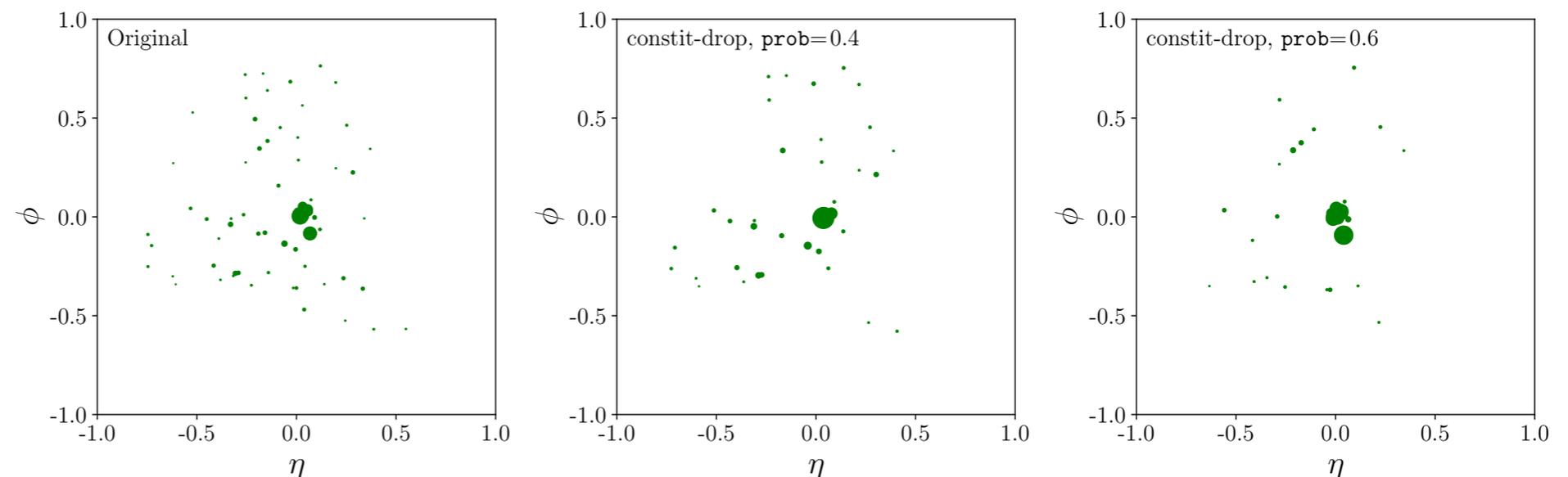
- heavy decays in jet



## constituent drop

Create low multiplicity jets by randomly removing constituents and re-scaling the  $p_T$ 's

- semi-visible jets



[<https://github.com/bmdillon/AnomalyCLR-jets>]

# Conclusions

# Conclusions

- While supervised learning works extremely well on low-level raw data, the same is not true for anomaly detection
- AE-based observables and CWoLa methods both have their disadvantages:
  - AE results depend on data representations
  - CWoLa results degrade with more observables / model-agnosticism
- Self-supervision: extracting features from unlabelled data through pseudo-tasks
  - Allows us to build highly expressive physical representations
  - Can be used for anomaly detection tasks
  - Demonstrated this on event level data (CMS ADC2020)
- Further work:
  - Self-supervision for anomalous jet tagging