



University of  
Nottingham

UK | CHINA | MALAYSIA

**Non-Hermiticity:** a new paradigm for  
model building in particle physics  
**Peter Millington (he/him)**  
Nottingham Research Fellow, University of Nottingham

KIAS Seminar, 7 Dec 2021

# A few quick thank yous

To **you** for listening in.

To my collaborators:

**Jean Alexandre** (King's College London)

**Carl M. Bender** (University of Washington, St Louis)

**Maxim N. Chernodub** (CNRS, Université de Tours)

**John Ellis** (King's College London)

**Dries Seynaeve** (former PhD student at King's College London)

To my funders:

**United Kingdom Research and Innovation (UKRI), Royal Society, University of Nottingham**

Peter Millington, University of Nottingham

# Model building strategies for new physics

To go beyond the Standard Model of particle physics, we can:

- **Add new degrees of freedom:** extra gauge singlets, extra Higgs doublets, heavy neutrinos, SUSY partners, hidden sectors, ...
- **Relax assumptions:** number of spatial dimensions, Lorentz invariance, locality, CPT invariance, ...

# Non-Hermiticity?

All Hermitian matrices have real eigenvalues ...  
... but matrices with real eigenvalues need not be Hermitian.

# Enter PT-symmetric QM

- We can relax Hermiticity in favour of the **weaker condition** of **PT-symmetry**, i.e., the combined action of parity and time-reversal (or indeed any antilinear symmetry).

[Bender & Boettcher '98, see also Mostafazadeh '02 and Mannheim '18a]

- This is sufficient to guarantee both **real eigenvalues**

[Bender & Boettcher '98]

and **unitary evolution**.

[Bender, Brody & Jones '02]

For a recent review, see Bender, *PT Symmetry in Quantum and Classical Physics*, World Scientific '2019.

**But we want to do non-Hermitian quantum field theory ...**

# A scalar playground

A simple **scalar model** with **c-number Lagrangian** with **real parameters**:

[Alexandre, PM & Seynaeve '17]

$$\mathcal{L} = \partial_\nu \phi_i^* \partial^\nu \phi_i - m_i^2 \phi_i^* \phi_i - \mu^2 (\phi_1^* \phi_2 - \phi_2^* \phi_1) \quad i = 1, 2$$

**“Naïve”  $\mathcal{PT}$  symmetry** if we have a complex scalar and a complex pseudoscalar, i.e.,

$$\begin{aligned} \mathcal{P}: \phi_1 &\rightarrow +\phi_1, & \phi_2 &\rightarrow -\phi_2 \\ \mathcal{T}: \phi_1 &\rightarrow +\phi_1^*, & \phi_2 &\rightarrow +\phi_2^* \end{aligned}$$

# A scalar playground: matrix model

**Non-Hermitian squared mass matrix:**  $M^2 = \begin{pmatrix} m_1^2 & \mu^2 \\ -\mu^2 & m_2^2 \end{pmatrix}$

With the  $\mathcal{PT}$  symmetry of  $\mathcal{L}$  translating into the **pseudo-Hermiticity** of  $M^2$ :

$$[P \cdot M^2 \cdot P]_{ij} = [M^2]_{ji} \quad P = \begin{pmatrix} +1 & 0 \\ 0 & -1 \end{pmatrix}$$

**Mass spectrum:**  $M_{\pm}^2 = \frac{m_1^2 + m_2^2}{2} \pm \left[ \left( \frac{m_1^2 - m_2^2}{2} \right)^2 - \mu^4 \right]^{1/2} \in \mathbb{R}$  if  $\eta \equiv \frac{2|\mu^2|}{|m_1^2 - m_2^2|} \leq 1$

$M^2$  is defective at the **exceptional point** at  $\eta = 1$ .

# A scalar playground: matrix model

Eigenvectors ( $m_1^2 - m_2^2, \mu^2 > 0$ ):

$$\mathbf{e}_+ = N \begin{pmatrix} \eta \\ -1 + \sqrt{1 - \eta^2} \end{pmatrix} \quad \mathbf{e}_- = N \begin{pmatrix} -1 + \sqrt{1 - \eta^2} \\ \eta \end{pmatrix} \quad \eta = \frac{2\mu^2}{m_1^2 - m_2^2}$$

- **Not** orthogonal with respect to the Dirac inner product:  $\mathbf{e}_\pm^* \cdot \mathbf{e}_\mp \neq 0$ .
- **Not** orthonormal with respect to the  $\mathcal{PT}$  inner product:  $\mathbf{e}_\pm^* \cdot P \cdot \mathbf{e}_\pm \neq 0$ .
- Orthonormal with respect to the  $\mathcal{C}'\mathcal{PT}$  inner product:  $\mathbf{e}_\pm^* \cdot C' \cdot P \cdot \mathbf{e}_\pm = 1$ .

[Bender, Brody & Jones '02; see also Alexandre, Ellis & PM '20b; cf. Mannheim '18b]



# A scalar playground: matrix model

$$C' = R \cdot P \cdot R^{-1} = \frac{1}{\sqrt{1-\eta^2}} \begin{pmatrix} 1 & -\eta \\ \eta & -1 \end{pmatrix} \quad R \cdot M^2 \cdot R^{-1} = \widehat{M}^2 = \begin{pmatrix} M_+^2 & 0 \\ 0 & M_-^2 \end{pmatrix}$$

The structure of  $C'$  follows from its relation to the **similarity transformation** to the corresponding Hermitian model  $\widehat{M}^2 = e^{-Q/2} \cdot M^2 \cdot e^{Q/2}$ :

$$C' = e^{-Q} \cdot P \quad e^{-Q} = C' \cdot P = R^2 = \frac{1}{\sqrt{1-\eta^2}} \begin{pmatrix} 1 & \eta \\ \eta & 1 \end{pmatrix}$$

$$Q = \ln R^2 = -\operatorname{arctanh}(\eta) \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

[Alexandre, Ellis & PM '20b]

But we want to work directly with the **non-Hermitian Hamiltonian ...**

# Variational procedure

The action is not Hermitian, so

$$\frac{\partial \mathcal{L}}{\partial \phi_i^*} - \partial_\nu \frac{\partial \mathcal{L}}{\partial \partial_\nu \phi_i^*} = 0 \not\Leftrightarrow \frac{\partial \mathcal{L}}{\partial \phi_i} - \partial_\nu \frac{\partial \mathcal{L}}{\partial \partial_\nu \phi_i} = 0$$

**Prescription:** choose one of these pairs of Euler-Lagrange equations to fix the dynamics.

The choices are **physically equivalent**; they are equivalent up to a field redefinition.

[Alexandre, PM & Seynaeve '17]

# Noether's theorem

If the **Euler-Lagrange equations** are not mutually consistent, conserved currents **do not** correspond to symmetries of the Lagrangian.

$$\delta\mathcal{L} = \underbrace{\left(\frac{\partial\mathcal{L}}{\partial\phi_i} - \partial_\nu \frac{\partial\mathcal{L}}{\partial\partial_\nu\phi_i}\right)}_{\neq 0} \delta\phi_i + \delta\phi_i^* \underbrace{\left(\frac{\partial\mathcal{L}}{\partial\phi_i^*} - \partial_\nu \frac{\partial\mathcal{L}}{\partial\partial_\nu\phi_i^*}\right)}_{= 0} + \partial_\nu j_\delta^\nu$$

The current is conserved if

[Alexandre, PM & Seynaeve '17]

$$\delta\mathcal{L} = \left(\frac{\partial\mathcal{L}}{\partial\phi_i} - \partial_\nu \frac{\partial\mathcal{L}}{\partial\partial_\nu\phi_i}\right) \delta\phi_i$$

# Noether's theorem

For our scalar model, the **conserved current** is

[Alexandre, PM & Seynaeve '17]

$$j^\nu = i[\phi_1^* \partial^\nu \phi_1 - (\partial^\nu \phi_1^*) \phi_1] - i[\phi_2^* \partial^\nu \phi_2 - (\partial^\nu \phi_2^*) \phi_2]$$

corresponding to  $(U(1) \times U(1))$

$$\begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix} \rightarrow e^{i\alpha P} \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix} = \begin{pmatrix} e^{+i\alpha} \phi_1 \\ e^{-i\alpha} \phi_2 \end{pmatrix}$$

with

$$\mathcal{L} \rightarrow \partial_\nu \phi_i^* \partial^\nu \phi_i - m_i^2 \phi_i^* \phi_i - \mu^2 (e^{-2i\alpha} \phi_1^* \phi_2 - e^{+2i\alpha} \phi_2^* \phi_1)$$

$$\delta \mathcal{L} = \left( \frac{\partial \mathcal{L}}{\partial \phi_j} - \partial_\nu \frac{\partial \mathcal{L}}{\partial \partial_\nu \phi_j} \right) \delta \phi_j = 2i\alpha \mu^2 (\phi_1^* \phi_2 - \phi_2^* \phi_1)$$

# The Goldstone theorem

The existence of the conserved current is sufficient to ensure **the Goldstone theorem** continues to hold in the case of a **spontaneously broken global symmetry**:

[Alexandre, Ellis, PM & Seynaeve '18]

$$\mathcal{L} = \partial_\nu \phi_i^* \partial^\nu \phi_i + m_1^2 |\phi_1|^2 - m_2^2 |\phi_2|^2 - \mu^2 (\phi_1^* \phi_2 - \phi_2^* \phi_1) - \frac{g}{4} |\phi_1|^4, \quad m_1^2, m_2^2 > 0$$

$$\left. \begin{aligned} \frac{\partial U}{\partial \phi_1^*} \Big|_{\phi_a=v_a} &= \frac{g}{2} |v_1|^2 v_1 - m_1^2 v_1 + \mu^2 v_2 = 0 \\ \frac{\partial U}{\partial \phi_2^*} \Big|_{\phi_a=v_a} &= m_2^2 v_2 - \mu^2 v_1 = 0 \end{aligned} \right\} \Rightarrow \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} = \sqrt{2 \frac{m_1^2 m_2^2 - \mu^4}{g m_2^2}} \begin{pmatrix} 1 \\ \frac{\mu^2}{m_2^2} \end{pmatrix} e^{i\alpha}$$

Away from the exceptional point, we have a **single massless, Goldstone mode**.

# The Englert-Brout-Higgs mechanism

Gauging the global  $U(1)$  symmetry (which is itself subtle):

$$\mathcal{L} = -\frac{1}{4}F_{\nu\rho}F^{\nu\rho} + D_\nu^*\phi_i^*D^\nu\phi_i + m_1^2|\phi_1|^2 - m_2^2|\phi_2|^2 - \mu^2(\phi_1^*\phi_2 - \phi_2^*\phi_1) - \frac{g}{4}|\phi_1|^4$$
$$D_\nu = \partial_\nu - iqA_\nu$$

The **Englert-Brout-Higgs mechanism** is still borne out ...

... all the way to the exceptional point:

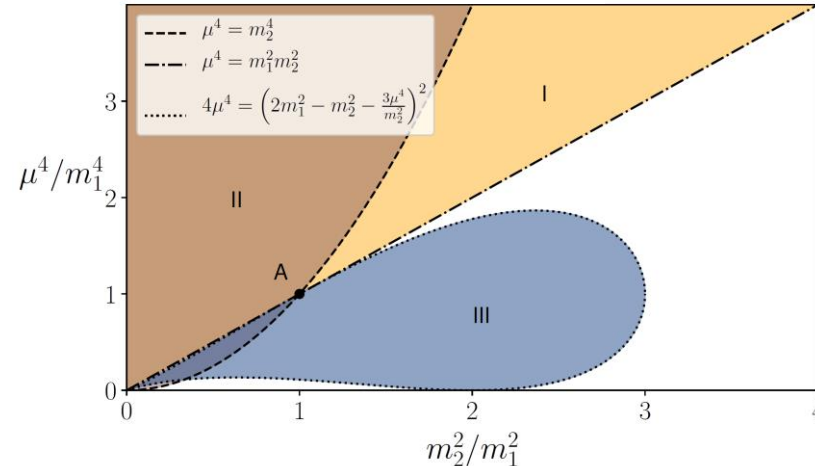
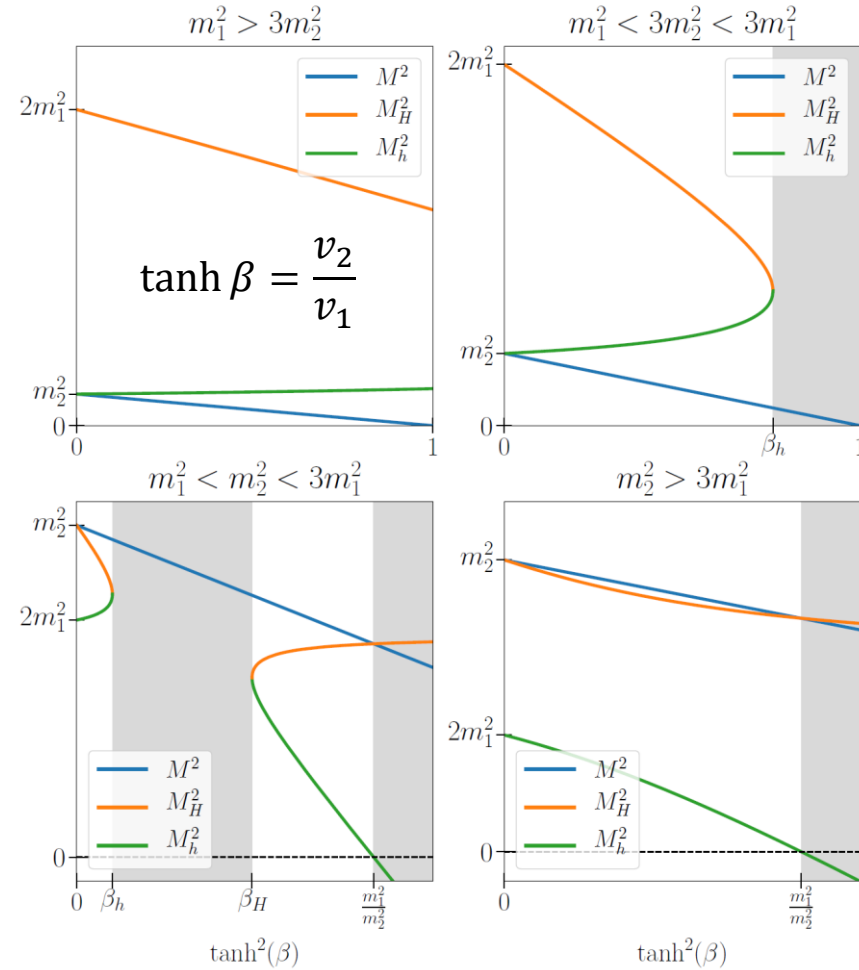
[Alexandre, Ellis, PM & Seynaeve '19 & '20]

$$M_A^2 = 2q^2(|v_1|^2 + |v_2|^2) \rightarrow 2q^2(|v_1|^2 + |v_1|^2) = \frac{4}{g}(m_1^2 - m_2^2) \text{ at } \mu^2 = \pm m_2^2$$

The  $\mathcal{C}'\mathcal{PT}$  norm of **the Goldstone mode** is ill defined, but its Hermitian norm is defined!

[cf. Mannheim '19, Fring & Taira '20a, '20b & '20c, based on a similarity transformation of this model, for which  $|v_2|^2 \rightarrow -|v_2|^2$ .]

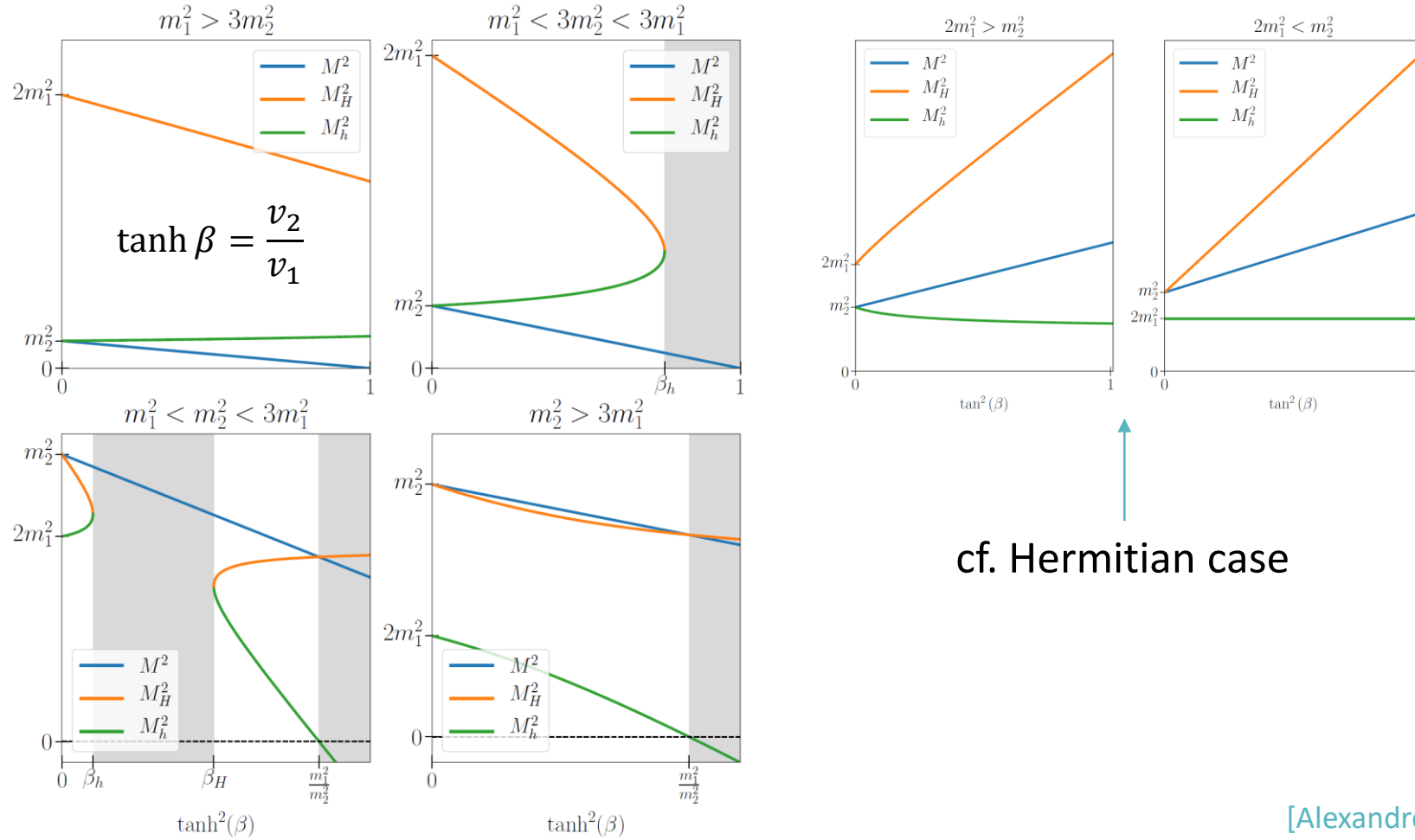
# (Non-Abelian) Englert-Brout-Higgs mechanism



- I: symmetric  $SU(2) \times U(1)$  phase
- II:  $\mathcal{PT}$  broken phase ( $M^2 < 0$  for  $H^\pm, D$ )
- III:  $\mathcal{PT}$  broken phase ( $M_h^2, M_H^2 \notin \mathbb{R}$  for  $h, H$ )
- Unshaded: physical SSB phase

[Alexandre, Ellis, PM & Seynaeve '20]

# (Non-Abelian) Englert-Brout-Higgs mechanism



[Alexandre, Ellis, PM & Seynaeve '20]



# Canonical variables

$\Phi \equiv (\phi_1, \phi_2)$  evolves with  $H$

$\Leftrightarrow$

$\dot{\Phi}^\dagger \equiv (\dot{\phi}_1, \dot{\phi}_2)^\dagger$  evolves with  $H^\dagger \neq H$

**But canonical variables** must both evolve with **the same**  $H$  (or  $H^\dagger$ )!

# Canonical variables

The **self-consistent non-Hermitian deformation** is

[Alexandre, Ellis & PM '20b]

$$\mathcal{L} = \partial_\nu \tilde{\phi}_i^* \partial^\nu \phi_i - m_i^2 \tilde{\phi}_i^* \phi_i - \mu^2 (\tilde{\phi}_1^* \phi_2 - \tilde{\phi}_2^* \phi_1)$$

The Euler-Lagrange equations are now mutually consistent:

$$\frac{\partial \mathcal{L}}{\partial \tilde{\phi}_i^*} - \partial_\nu \frac{\partial \mathcal{L}}{\partial \partial_\nu \tilde{\phi}_i^*} = 0 \iff \frac{\partial \mathcal{L}}{\partial \phi_i} - \partial_\nu \frac{\partial \mathcal{L}}{\partial \partial_\nu \phi_i} = 0$$

The **tilde-conjugated fields** are defined via

$$\mathcal{P}: \phi_i(t, \mathbf{x}) \longrightarrow \phi_i'(t, -\mathbf{x}) = P_{ij} \tilde{\phi}_j(t, \mathbf{x})$$

**But** there is still a choice!

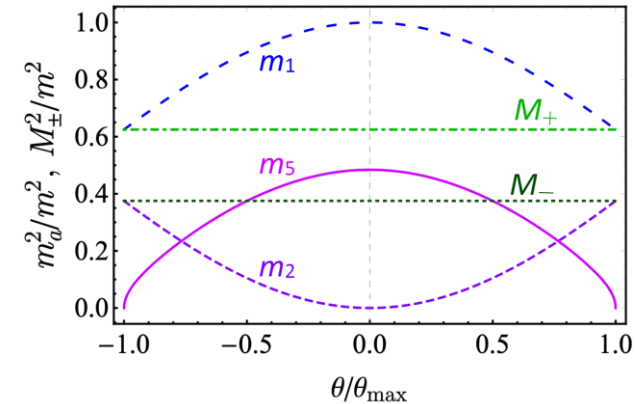
# A unique feature: a local similarity map

[Chernodub & PM '21]

Choose a **local non-Hermitian mass matrix** with globally constant eigenvalues ( $\mu^2 \rightarrow m_5^2$ ):

$$\left. \begin{aligned} m_1^2(x) + m_2^2(x) &= M_0^2 \\ [m_1^2(x) - m_2^2(x)]^2 - 4m_5^4(x) &= m_0^4 \end{aligned} \right\} M_{\pm}^2 = \frac{1}{2}(M_0^2 \pm m_0^2)$$

$$\theta(x) = \arctan \frac{m_2(x)}{m_1(x)}$$



The mass matrix is diagonalised by a **local similarity transformation**, leading to:

$$\mathcal{L}_{C,H} = \partial_\mu \tilde{\phi}_+^* \partial^\mu \phi_+ - M_+^2 \tilde{\phi}_+^* \phi_+ + \partial_\mu \tilde{\phi}_-^* \partial^\mu \phi_- - M_-^2 \tilde{\phi}_-^* \phi_- + C_\mu C^\mu (\tilde{\phi}_+^* \phi_+ + \tilde{\phi}_-^* \phi_-) + C_\mu j^\mu$$

$$j^\mu = -\tilde{\phi}_+^* \partial^\mu \phi_- + \phi_+ \partial^\mu \tilde{\phi}_-^* - \tilde{\phi}_-^* \partial^\mu \phi_+ + \phi_- \partial^\mu \tilde{\phi}_+^* - 2C^\mu (\tilde{\phi}_+^* \phi_+ + \tilde{\phi}_-^* \phi_-)$$

$C^\mu$  is the **similarity “gauge” field**.

# A unique feature: a local similarity map

[Chernodub & PM '21]

Energy spectrum for a constant similarity “gauge” field:

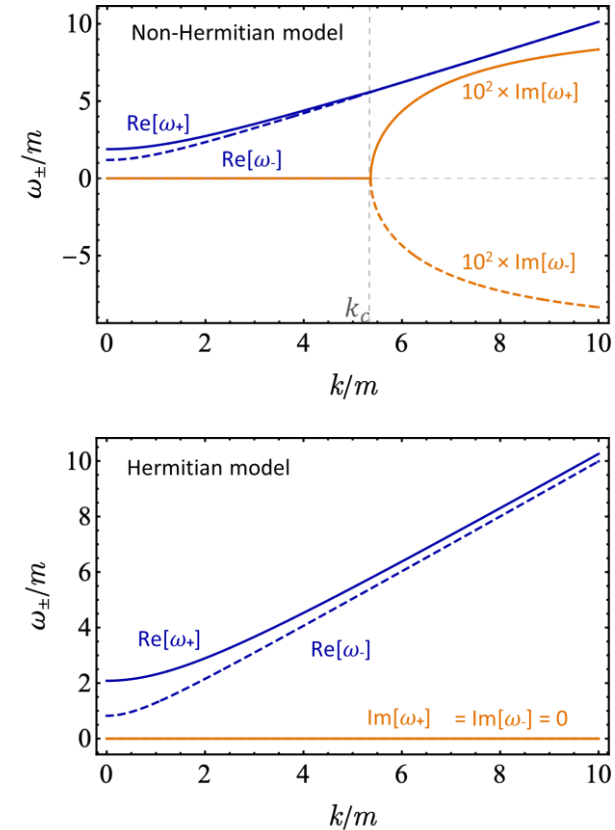
$$(\omega^2 - \mathbf{k}^2 - C^2 - m_1^2)(\omega^2 - \mathbf{k}^2 - C^2 - m_2^2) + 4(C^0\omega - \mathbf{k} \cdot \mathbf{C})^2 + m_5^4 = 0$$

Modes with  $k < k_c$  are in the  $\mathcal{PT}$  unbroken regime; modes with  $k > k_c$  are  $\mathcal{PT}$  broken. For the time-like case,

$$k_c^2 = \frac{(m_1^2 - m_2^2)^2 - 4m_5^4}{16C_0^2} - \frac{m_1^2 + m_2^2}{2}$$

Novel IR/UV connection.

Peter Millington, University of Nottingham



# Second quantisation

In the flavour basis, the matrix-valued energy is non-Hermitian, and we need:

[see Alexandre, Ellis & PM '20b, also for full details of how the discrete symmetry properties  $\mathcal{C}, \mathcal{C}', \mathcal{P}, \mathcal{T}$  are borne out.]

$$\hat{\phi}_i(x) = \int \frac{d^3\mathbf{p}}{(2\pi)^3} [2E(\mathbf{p})]_{ij}^{-1/2} \left[ (e^{-ip \cdot x})_{jk} \hat{a}_{k,\mathbf{p}}(0) + (e^{ip \cdot x})_{jk} \check{c}_{k,\mathbf{p}}^\dagger(0) \right]$$

$$\check{\phi}_i^\dagger(x) = \int \frac{d^3\mathbf{p}}{(2\pi)^3} [2E(\mathbf{p})]_{ji}^{-1/2} \left[ (e^{-ip \cdot x})_{kj} \hat{c}_{k,\mathbf{p}}(0) + (e^{ip \cdot x})_{kj} \check{a}_{k,\mathbf{p}}^\dagger(0) \right]$$

The **hatted** ( $\hat{\phantom{x}}$ ) and **checked** ( $\check{\phantom{x}}$ ) fields are related via **parity**:

$$P_{ij} \check{\phi}_j(\mathcal{P}x) = \hat{\mathcal{P}} \hat{\phi}_i(x) \hat{\mathcal{P}}^{-1}$$

The **second-quantised Lagrangian** is

$$\hat{\mathcal{L}} = \partial_\nu \check{\phi}_i^\dagger \partial^\nu \hat{\phi}_i - m_i^2 \check{\phi}_i^\dagger \hat{\phi}_i - \mu^2 \left( \check{\phi}_1^\dagger \hat{\phi}_2 - \check{\phi}_2^\dagger \hat{\phi}_1 \right)$$

# Flavour oscillations

Mass eigenstates:  $|\mathbf{p}, +(-), t\rangle, (|\mathbf{p}, +(-), t\rangle)^\S, \S \equiv \mathcal{C}'\mathcal{P}\mathcal{T} \circ \mathsf{T}$

Flavour eigenstates: [\[Alexandre, Ellis & PM '20b\]](#)

$$|\check{\mathbf{p}}, 1(2), t\rangle = N \left\{ \eta |\mathbf{p}, +(-), t\rangle - \left[ 1 - \sqrt{1 - \eta^2} \right] |\mathbf{p}, -(+), t\rangle \right\}$$

$$\langle \hat{\mathbf{p}}, 1(2), t | = N \left\{ \eta (|\mathbf{p}, +(-), t\rangle)^\S + \left[ 1 - \sqrt{1 - \eta^2} \right] (|\mathbf{p}, -(+), t\rangle)^\S \right\}$$

Orthonormality:

$$\langle \hat{\mathbf{p}}, i, t | \check{\mathbf{p}}', j, t \rangle = (2\pi)^3 \delta_{ij} \delta^3(\mathbf{p} - \mathbf{p}')$$

$$(|\mathbf{p}, \pm, t\rangle)^\S |\mathbf{p}', \pm, t\rangle = (2\pi)^3 \delta^3(\mathbf{p} - \mathbf{p}')$$

$$(|\mathbf{p}, \pm, t\rangle)^\S |\mathbf{p}', \mp, t\rangle = 0$$

# Flavour oscillations

Transition “probability”:

$$\Pi_{i \rightarrow j}(t) = \frac{1}{V} \int \frac{d^3 \mathbf{p}'}{(2\pi)^3} \langle \hat{\mathbf{p}}, j, t | \check{\mathbf{p}}', i, 0 \rangle \langle \hat{\mathbf{p}}', i, 0 | \check{\mathbf{p}}, j, t \rangle, \quad V \equiv (2\pi)^3 \delta^3(\mathbf{0})$$

Oscillation and “survival probabilities”: [\[Alexandre, Ellis & PM '20b\]](#)

$$\begin{aligned} \Pi_{1(2) \rightarrow 2(1)}(t) &= -\frac{\eta^2}{1 - \eta^2} \sin^2 \left[ \frac{1}{2} (E_+(\mathbf{p}) - E_-(\mathbf{p}))t \right] \notin [0,1] \\ \Pi_{1(2) \rightarrow 1(2)}(t) &= 1 + \frac{\eta^2}{1 - \eta^2} \sin^2 \left[ \frac{1}{2} (E_+(\mathbf{p}) - E_-(\mathbf{p}))t \right] \notin [0,1] \end{aligned}$$

**Unitarity:**  $\Pi_{1(2) \rightarrow 1(2)}(t) + \Pi_{1(2) \rightarrow 2(1)}(t) = 1$

[\[cf. the similar issue found in Ohlsson & Zhou '20 & '21\]](#)

# Flavour oscillations

**Resolution:** experimental **observables** are **scattering matrix elements**.

[Alexandre, Ellis & PM '20b]

We must source states consistent with the  $\mathcal{PT}$  symmetry:

$$\mathcal{L}_{\text{int}} = J_A \check{\phi}_1^\dagger + J_A^\dagger \hat{\phi}_1 - J_B \check{\phi}_2^\dagger + J_B^\dagger \hat{\phi}_2$$

“Squared” matrix element for  $A \rightarrow B$ :

$$\mathcal{M}_{A \rightarrow B}^{\mathcal{C}'\mathcal{PT}} \mathcal{M}_{A \rightarrow B} = VT(2\pi)^4 \delta^4(p_A - p_B) \frac{\mu^4}{(p_A^2 - M_+^2)^2 (p_A^2 - M_-^2)^2} > 0$$

remaining real and perturbative all the way up to the exceptional point ( $M_+^2 = M_-^2$ ).



# What about fermions?

An example: non-Hermitian extension of the **Dirac theory**

[Bender, Jones & Rivers '05; Alexandre, Bender & PM '15; Alexandre, PM & Seynaeve '17]

$$\mathcal{L} = \bar{\psi}(i\gamma^\nu \partial_\nu - m - \mu\gamma^5)\psi, \quad \gamma^5 = (\gamma^5)^\dagger$$

**Eigenmasses:**  $M^2 = m^2 - \mu^2$

The **conserved current** is [Alexandre & Bender '15]

$$j^\nu = \bar{\psi}\gamma^\nu \left(1 + \frac{\mu}{m}\gamma^5\right)\psi = \left(1 - \frac{\mu}{m}\right)\psi_L^\dagger \bar{\sigma}^\nu \psi_L + \left(1 + \frac{\mu}{m}\right)\psi_R^\dagger \bar{\sigma}^\nu \psi_R$$

corresponding to

$$\psi \rightarrow \psi' = \exp\left[i\alpha\left(1 + \frac{\mu}{m}\gamma^5\right)\right]\psi$$

with

$$\delta\mathcal{L} = -2\mu\bar{\psi}\gamma^5\delta\psi \neq 0$$

# What about fermions?

- **Exceptional points**  $\mu = +(-)m \Rightarrow$  a **massless theory** of right(left) chiral Weyl fermions.

[Alexandre, Bender & PM '15, cf. Chernodub '17]

- Gauging this model, the full **vector plus axial vector gauge symmetry** is recovered at the **exceptional points**.

[Alexandre, Bender & PM '15; Alexandre, PM & Seynaeve '17]

- Massless fermions can undergo **flavour oscillations**.

[Jones-Smith & Mathur '14]

- The same model can be obtained from a **non-Hermitian Higgs-Yukawa theory**.

[Alexandre, Bender & PM '15 & '17; see also Alexandre & Mavromatos '20]

$$\mathcal{L}_{\text{Yuk}} = -y_- \bar{L}_L \tilde{H} \nu_R - y_+ \bar{\nu}_R \tilde{H}^\dagger L_L$$

- A non-Hermitian explanation for the smallness of the **light neutrino masses**?

# SUSY embedding?

Two  $\mathcal{N} = 1$  scalar chiral superfields:  $\Phi_1, \Phi_2$

Superpotential: [Alexandre, Ellis & PM '20a]

$$W_{\pm} = \frac{1}{2} m_{11} \Phi_1^2 \mp m_{12} \Phi_1 \Phi_2 + \frac{1}{2} m_{22} \Phi_2^2, \quad \mathcal{L} = \mathcal{L}_K + \int d^2\theta W_+ + \int d^2\theta^\dagger W_-^\dagger \neq \mathcal{L}^\dagger$$

On-shell (two complex scalars, two Majorana fermions,  $a = 1, 2$ ):

$$\mathcal{L}_{\text{scal}} = \partial_\nu \phi_a^* \partial^\nu \phi_a - (m_{aa}^2 - m_{12}^2) \phi_a^* \phi_a - m_{12} (m_{22} - m_{11}) (\phi_1^* \phi_2 - \phi_2^* \phi_1)$$

$$\mathcal{L}_{\text{ferm}} = \frac{1}{2} \bar{\psi}_a i \gamma^\nu \partial_\nu \psi_a - \frac{1}{2} m_{aa} \bar{\psi}_a \psi_a - \frac{1}{2} m_{12} (\bar{\psi}_1 \gamma^5 \psi_2 + \bar{\psi}_2 \gamma^5 \psi_1), \quad \gamma^5 = (\gamma^5)^\dagger$$

But  $M_{\text{scal},\pm}^2 \neq M_{\text{ferm},\pm}^2 \Rightarrow$  supersymmetry breaking!

# Closing remarks

- **Noether's theorem, Goldstone theorem and Englert-Brout-Higgs mechanism** borne out.
- **Unique features and parametric dependence** very different to similar Hermitian models.
- **Second quantisation** in the non-Hermitian “frame” is subtle.
- Formulate **non-Hermitian flavour oscillations** consistent with perturbative unitarity.
- A new possibility for **SUSY breaking**.
- Potential implications for the **neutrino sector**.
- **CP violation?** [\[Dale, Mason & PM in prep\]](#); **fermionic second quantisation?** [\[Alexandre, Ellis & PM in prep\]](#).

# Thank you and stay well

Questions or comments?

Message me on **twitter @pwmillington** or **email** me at **p.millington@nottingham.ac.uk**.

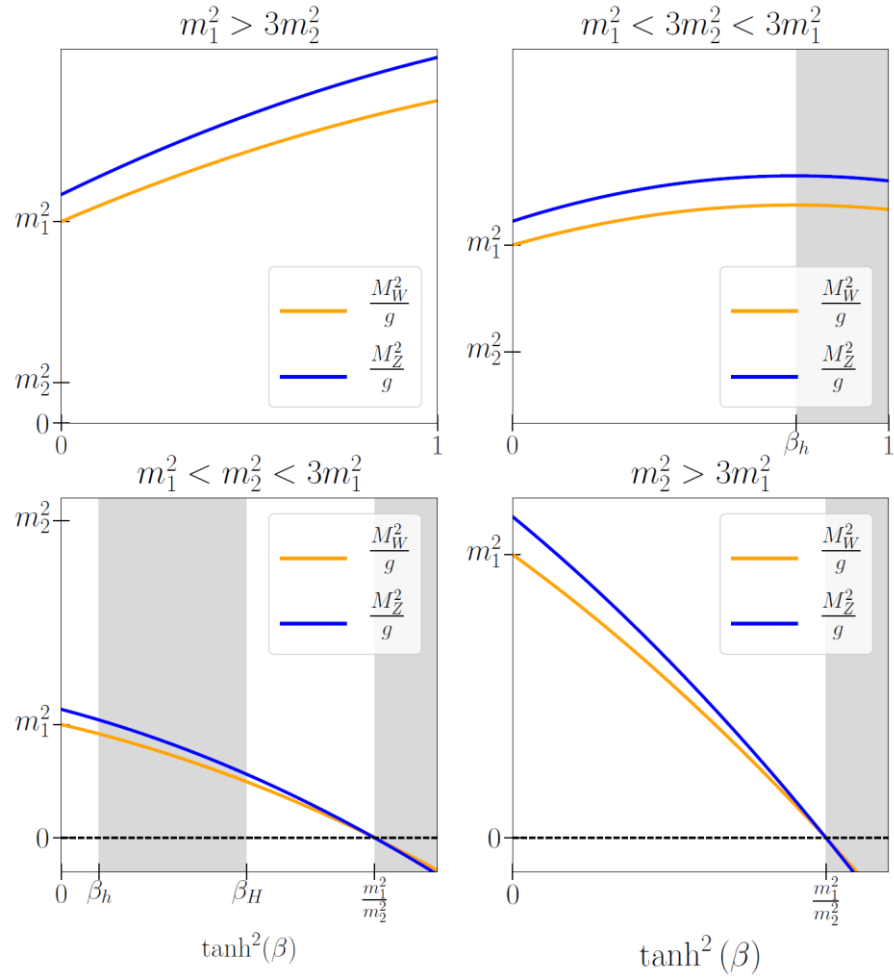
# References

Please see references therein.

- Alexandre J, Bender C M 2015 *Foldy-Wouthuysen transformation for non-Hermitian Hamiltonians*, J Phys A **48** 185403 [arXiv:1501.01232]
- Alexandre J, Bender C M, Millington P 2017 *Light neutrino masses from a non-Hermitian Yukawa theory*, J Phys: Conf Ser **873** 012047 [arXiv:1703.05251]
- Alexandre J, Bender C M, Millington P 2015 *Non-Hermitian extension of gauge theories and implications for neutrino physics*, J High Energy Phys JHEP11(2015)111 [arXiv:1509.01203]
- Alexandre J, Ellis J, Millington P 2020 *Discrete spacetime symmetries and particle mixing in non-Hermitian scalar quantum field theories*, Phys Rev D **102** 125030 [arXiv:2006.06656]
- Alexandre J, Ellis J, Millington P 2020 *PT-symmetric non-Hermitian quantum field theories with supersymmetry*, Phys Rev D **101** 085015 [arXiv:2001.11996]
- Alexandre J, Ellis J, Millington P, Seynaeve D 2020 *Spontaneously breaking non-Abelian gauge symmetry in non-Hermitian field theories*, Phys Rev D **101** 035008 [arXiv:1910.03985]
- Alexandre J, Ellis J, Millington P, Seynaeve D 2019 *Gauge invariance and the Englert-Brout-Higgs mechanism in non-Hermitian field theories*, Phys Rev D **99** 075024 [arXiv:1808.00944]
- Alexandre J, Ellis J, Millington P, Seynaeve D 2018 *Spontaneous symmetry breaking and the Goldstone theorem in non-Hermitian field theories*, Phys Rev D **98** 045001 [arXiv:1805.06380]
- Alexandre J, Mavromatos N E 2020 *On the consistency of a non-Hermitian Yukawa interaction*, Phys Lett B **807** 135562 [arXiv:2004.03669]
- Alexandre J, Millington P, Seynaeve D 2017 *Symmetries and conservation laws in non-Hermitian field theories*, Phys Rev D **96** 065027 [arXiv:1707.01057]
- Bender C M, Boettcher S 1998 *Real spectra in non-Hermitian Hamiltonians having PT symmetry*, Phys Rev Lett **80** 5243 [arXiv:physics/9712001]
- Bender C M, Brody D, Jones H F 2002 *Complex extension of quantum mechanics*, Phys Rev Lett **92** 119902 [arXiv:quant-ph/0208076]
- Bender C M, Jones H F, Rivers R J 2005 *Dual PT-symmetric quantum field theories*, Phys Lett B **625** 333 [arXiv:hep-th/0508105]
- Chernodub M N 2017 *The Nielsen-Ninomiya theorem, PT-invariant non-Hermiticity and single 8-shaped Dirac cone*, J Phys A **50** 385001 [arXiv:1701.07426]
- Chernodub M N, Millington P 2021 *IR/UV mixing from local similarity maps of scalar non-Hermitian field theories* arXiv:2110.05289
- Fring A, Taira T 2020 *Massive gauge particles versus Goldstone bosons in non-Hermitian non-Abelian gauge theory*, preprint arXiv:2004.00723
- Fring A, Taira T 2020 *Pseudo-Hermitian approach to Goldstone's theorem in non-Abelian non-Hermitian quantum field theories*, Phys Rev D **101** 045014 [arXiv:1911.01405]
- Fring A, Taira T 2020 *Goldstone bosons in different PT-regimes of non-Hermitian scalar quantum field theories*, Nucl Phys B **950** 114834 [arXiv:1906.05738]
- Jones-Smith K, Mathur H 2014 *Relativistic non-Hermitian quantum mechanics*, Phys Rev D **89** 125014 [arXiv:0908.4257]
- Mannheim P D 2019 *Goldstone bosons and the Englert-Brout-Higgs mechanism in non-Hermitian theories*, Phys Rev D **99** 045006 [arXiv:1808.00437]
- Mannheim P D 2018 *Antilinearity rather than Hermiticity as a guiding principle for quantum theory*, J Phys A **51** 315302 [arXiv:1512.04915]
- Mannheim P D 2018 *Appropriate Inner Product for PT-Symmetric Hamiltonians*, Phys Rev D **97** 045001 [arXiv:1708.01247]
- Mustafazadeh A 2002 *Pseudo-Hermiticity versus PT symmetry (series)*, J Math Phys **43** 205 [arXiv:math-ph/0107001]; *ibid.* 2814 [arXiv:math-ph/0110016]; *ibid.* 3944 [arXiv:math-ph/0203005]
- Ohlsson T, Zhou S 2020 *Transition probabilities in the two-level quantum system with PT-symmetric non-Hermitian Hamiltonians*, J Math Phys **61** 052104 [arXiv:1906.01567]
- Ohlsson T, Zhou S 2021 *Density matrix formalism for PT-symmetric non-Hermitian Hamiltonians with the Lindblad equation*, Phys Rev A **103** 022218 [arXiv:2006.02445]

Back up slides

# (Non-Abelian) Englert-Brout-Higgs mechanism



[Alexandre, Ellis, PM & Seynaeve '20]



# Second quantisation

## Why this doubling?

- To ensure a **consistent variational procedure**, and to construct **canonical conjugate variables**.
- In terms of the **mass “eigenfields”** or the **similarity transformation** to the Hermitian “frame”:

$$\hat{\xi}_i = R_{ij} \hat{\phi}_j \Leftrightarrow \hat{\xi}_i^\dagger = \hat{\phi}_j^\dagger R_{ji}^{-1}$$

instead:

$$\hat{\xi}_i = R_{ij} \hat{\phi}_j \Leftrightarrow \hat{\xi}_i^\dagger = \check{\phi}_i^\dagger R_{ji}^{-1}$$

# Maxwell equations

Since we must couple to a **non-conserved current**

$$\partial_\nu j^\nu = -2iq\mu^2(\phi_1^*\phi_2 - \phi_2^*\phi_1)$$

The **Maxwell equations** are inconsistent, since  $\partial_\nu\partial_\rho F^{\nu\rho} = 0$  identically.

**Resolution:** Adding a covariant **gauge fixing term** is sufficient: [\[Alexandre, Ellis, PM & Seynaeve '19\]](#)

$$\mathcal{L} \supset -\frac{1}{2\zeta}(\partial_\nu A^\nu)^2$$

This leads to the constraint (cf. Stueckelberg case)

$$\frac{1}{\zeta}\square\partial_\nu A^\nu = -2iq\mu^2(\phi_1^*\phi_2 - \phi_2^*\phi_1)$$

precluding the **Lorenz gauge condition**.